

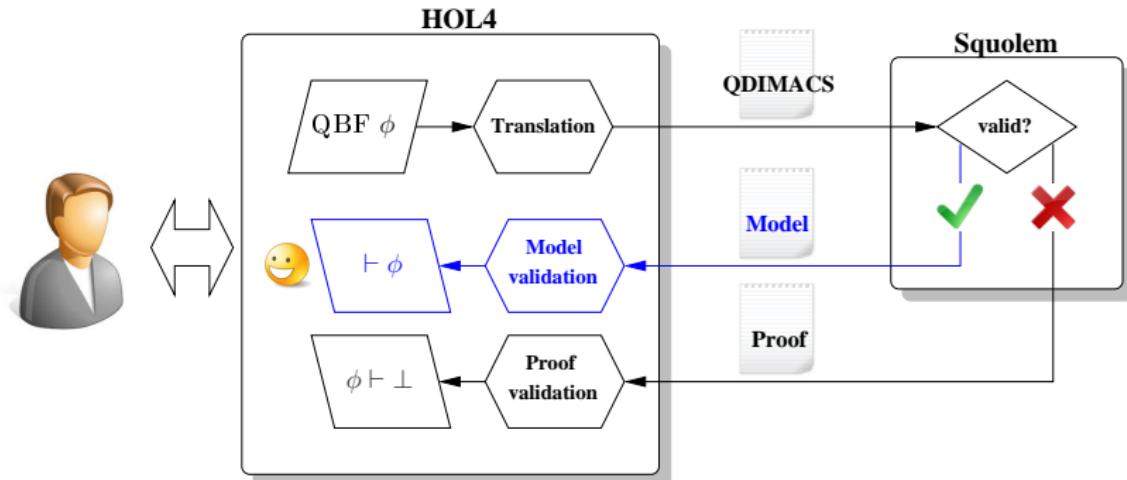
Validating QBF Validity in HOL4

Ramana Kumar and Tjark Weber



ITP 2011 (Berg en Dal)
August 25, 2011

Introduction

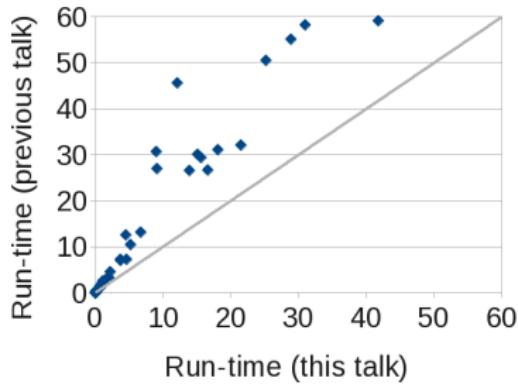


Comparison to Kunčar's Approach

- We happen to use HOL4 instead of HOL Light.

Comparison to Kunčar's Approach

- We happen to use HOL4 instead of HOL Light.
- Our solution is often **twice as fast**.



Comparison to Kunčar's Approach

- We happen to use HOL4 instead of HOL Light.
- Our solution is often **twice as fast**.
- Our solution is **simpler**.

$$\begin{array}{ccc} \vdash \mathcal{M} \implies \phi & \parallel & \vdash \mathcal{M} \implies \phi \\ \downarrow & & \downarrow \\ \vdash \mathbf{Q}\mathcal{M} \implies \mathbf{Q}\phi & & \mathcal{M} \vdash \phi \\ \uparrow & & \downarrow \\ \vdash \mathbf{Q}\mathcal{M} & & \vdash \mathbf{Q}\phi \end{array}$$

Valid QBF and Models

QBF

$$\forall x \exists y \exists z. (x \vee y \vee \neg z) \wedge (x \vee \neg y \vee z) \wedge (\neg x \vee y \vee z) \wedge \\ (\neg x \vee \neg y \vee \neg z) \wedge (\neg y \vee z)$$

Model

$$y \mapsto f_y, \quad f_y(x) = \perp \qquad \qquad z \mapsto f_z, \quad f_z(x, y) = x$$

Valid QBF and Models

QBF

$$\forall x \exists y \exists z. (x \vee y \vee \neg z) \wedge (x \vee \neg y \vee z) \wedge (\neg x \vee y \vee z) \wedge \\ (\neg x \vee \neg y \vee \neg z) \wedge (\neg y \vee z)$$

Model

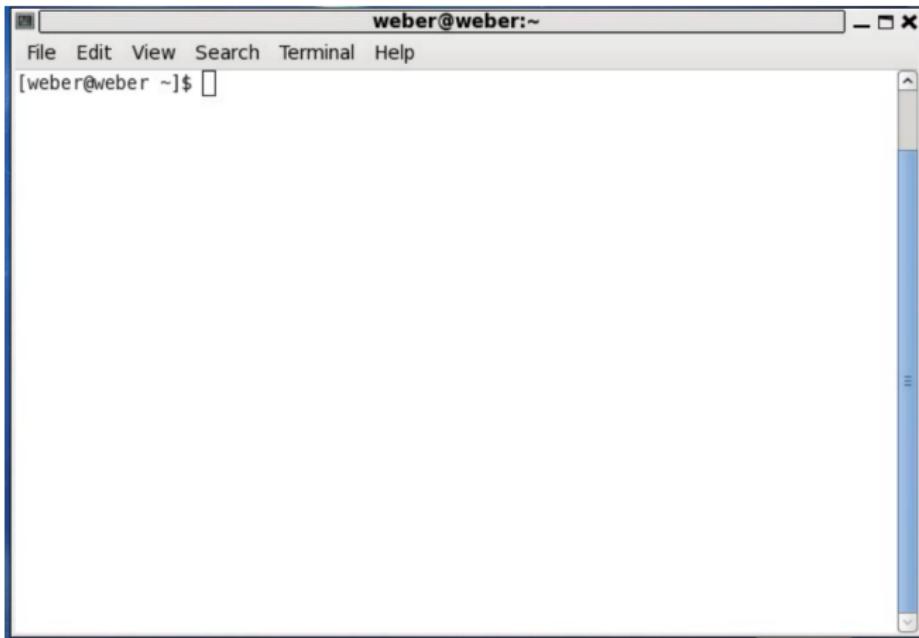
$$y \mapsto f_y, \quad f_y(x) = \perp \qquad \qquad z \mapsto f_z, \quad f_z(x, y) = x$$



Propositional Tautology

$$(x \vee \perp \vee \neg x) \wedge (x \vee \top \vee x) \wedge (\neg x \vee \perp \vee x) \wedge \\ (\neg x \vee \top \vee \neg x) \wedge (\top \vee x)$$

Demo



Selected HOL4 Inference Rules

$$\frac{}{\{\phi\} \vdash \phi} \text{ASSUME}_\phi \quad \frac{\Gamma \vdash \phi}{\Gamma \theta \vdash \phi \theta} \text{INST}_\theta \quad \frac{}{\vdash t = t} \text{REFL}_t$$

$$\frac{\Gamma \vdash \psi}{\Gamma \setminus \{\phi\} \vdash \phi \implies \psi} \text{DISCH}_\phi \quad \frac{\Gamma \vdash \phi \implies \psi \quad \Delta \vdash \phi}{\Gamma \cup \Delta \vdash \psi} \text{MP}$$

$$\frac{\Gamma \vdash \phi}{\Gamma \vdash \forall x. \phi} \text{GEN}_x \ (\text{x not free in Γ}) \quad \frac{\Gamma \vdash \phi[t]}{\Gamma \vdash \exists x. \phi[x]} \text{EXISTS}_{(\exists x. \phi[x], t)}$$

Validating Squolem's Certificates in HOL4

QBF

$$\forall x \exists y \exists z. \phi, \text{ where } \phi = (x \vee y \vee \neg z) \wedge (x \vee \neg y \vee z) \wedge (\neg x \vee y \vee z) \wedge (\neg x \vee \neg y \vee \neg z) \wedge (\neg y \vee z)$$

Validating Squolem's Certificates in HOL4

QBF

$\forall x \exists y \exists z. \phi$, where $\phi = (x \vee y \vee \neg z) \wedge (x \vee \neg y \vee z) \wedge (\neg x \vee y \vee z) \wedge (\neg x \vee \neg y \vee \neg z) \wedge (\neg y \vee z)$

Model

$v_1 \Leftrightarrow \perp, \quad v_2 \Leftrightarrow x, \quad y \Leftrightarrow v_1, \quad z \Leftrightarrow v_2$

Validating Squolem's Certificates in HOL4

QBF

$\forall x \exists y \exists z. \phi$, where $\phi = (x \vee y \vee \neg z) \wedge (x \vee \neg y \vee z) \wedge (\neg x \vee y \vee z) \wedge (\neg x \vee \neg y \vee \neg z) \wedge (\neg y \vee z)$

Model

$v_1 \Leftrightarrow \perp, \quad v_2 \Leftrightarrow x, \quad y \Leftrightarrow v_1, \quad z \Leftrightarrow v_2$

- 1 MiniSat proves $\vdash (v_1 \Leftrightarrow \perp) \implies (v_2 \Leftrightarrow x) \implies (y \Leftrightarrow v_1) \implies (z \Leftrightarrow v_2) \implies \phi$

Validating Squolem's Certificates in HOL4

QBF

$\forall x \exists y \exists z. \phi$, where $\phi = (x \vee y \vee \neg z) \wedge (x \vee \neg y \vee z) \wedge (\neg x \vee y \vee z) \wedge (\neg x \vee \neg y \vee \neg z) \wedge (\neg y \vee z)$

Model

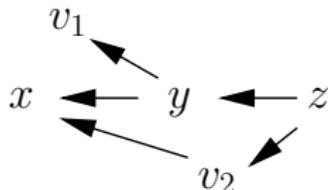
$v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2$

- 1 MiniSat proves $\vdash (v_1 \Leftrightarrow \perp) \implies (v_2 \Leftrightarrow x) \implies (y \Leftrightarrow v_1) \implies (z \Leftrightarrow v_2) \implies \phi$
- 2 $\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi \quad \{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

3 Topologically sort all variables:



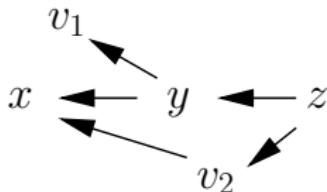
e.g., $z < v_2 < y < x < v_1$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $v_2 < v_1 < y < x < v_2$

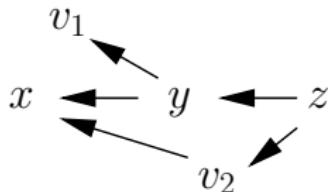
- 4 Eliminate hypotheses, introduce quantifiers:

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi \quad \{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $v_2 < v_1 < y < x < v_2$

- 4 Eliminate hypotheses, introduce quantifiers:

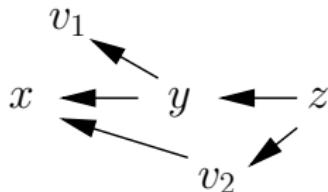
$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $v_2 < v_1 < y < x < v_2$

- 4 Eliminate hypotheses, introduce quantifiers:

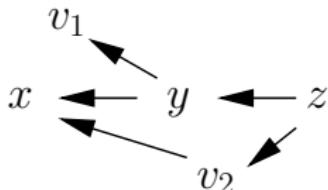
$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, v_2 \Leftrightarrow v_2\} \vdash \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

- 4 Eliminate hypotheses, introduce quantifiers:

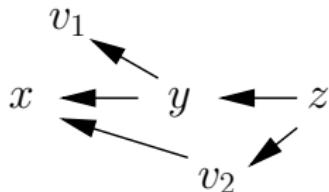
$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1\} \vdash \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

4 Eliminate hypotheses, introduce quantifiers:

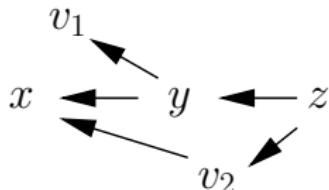
$$\{v_1 \Leftrightarrow \perp, x \Leftrightarrow x, y \Leftrightarrow v_1\} \vdash \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

- 4 Eliminate hypotheses, introduce quantifiers:

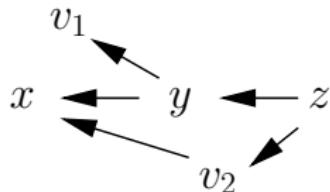
$$\{v_1 \Leftrightarrow \perp, y \Leftrightarrow v_1\} \vdash \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

4 Eliminate hypotheses, introduce quantifiers:

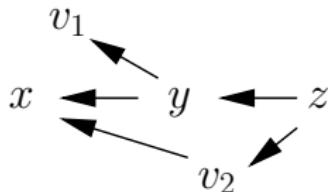
$$\{v_1 \Leftrightarrow \perp, y \Leftrightarrow v_1\} \vdash \exists y \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

- 4 Eliminate hypotheses, introduce quantifiers:

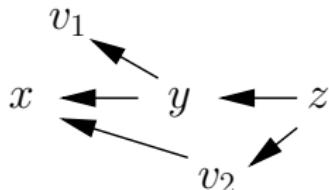
$$\{v_1 \Leftrightarrow \perp, v_1 \Leftrightarrow v_1\} \vdash \exists y \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

4 Eliminate hypotheses, introduce quantifiers:

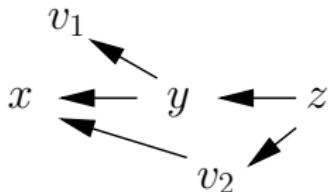
$$\{v_1 \Leftrightarrow \perp\} \vdash \exists y \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

- 4 Eliminate hypotheses, introduce quantifiers:

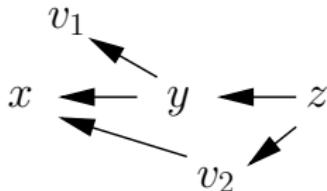
$$\{v_1 \Leftrightarrow \perp\} \vdash \forall x \exists y \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

- 4 Eliminate hypotheses, introduce quantifiers:

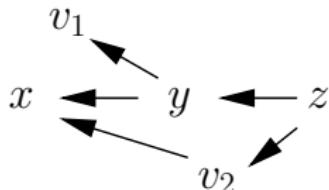
$$\{\perp \Leftrightarrow \perp\} \vdash \forall x \exists y \exists z. \phi$$

Validating Squolem's Certificates in HOL4

$$\forall x \exists y \exists z. \phi$$

$$\{v_1 \Leftrightarrow \perp, v_2 \Leftrightarrow x, y \Leftrightarrow v_1, z \Leftrightarrow v_2\} \vdash \phi$$

- 3 Topologically sort all variables:



e.g., $z < v_2 < y < x < v_1$

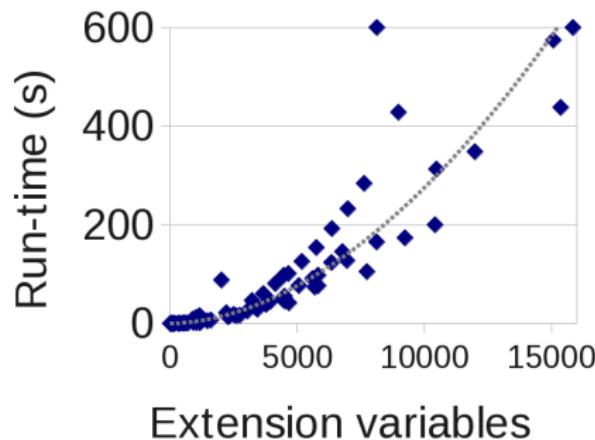
- 4 Eliminate hypotheses, introduce quantifiers:

$$\emptyset \vdash \forall x \exists y \exists z. \phi$$

Evaluation

Evaluation on 100 valid QBF problems from the *2005 fixed instance* and *2006 preliminary QBF-Eval* data sets

up to 133 alternating quantifiers, 11,570 variables, 131,072 clauses



Evaluation

Evaluation on 100 valid QBF problems from the *2005 fixed instance* and *2006 preliminary QBF-Eval* data sets

up to 133 alternating quantifiers, 11,570 variables, 131,072 clauses

Success rate: 87% (at 600 s)

- Average run-times: 134 s (de Bruijn), 163 s (name-carrying)
- Essentially quadratic in the number of extension variables
- 18 times slower than Squolem
- 16 times slower than non-LCF-style validation

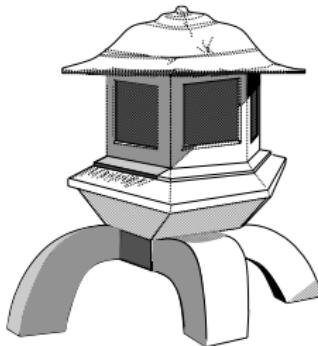
Conclusions

Integration of a QBF solver with HOL4

- 😊 Improved automation for QBF in HOL4
- 😊 High correctness assurances for Squolem's results
- 😊 LCF-style proof checking for QBF validity is often feasible.
- 😊 HOL4: 🌎 <http://hol.sourceforge.net/>

Future Work

- Applications, case studies
- Other ITPs/QBF solvers
- Different approaches (e.g., reflection)



Future Work

- Applications, case studies
- Other ITPs/QBF solvers
- Different approaches (e.g., reflection)

Thank
You!

