

Software Testing Lecture 6

Input Domain Modelling

Justin Pearson

2019

Input Domains

- ▶ The *input domain* of a program is all the possible inputs to that program.
- ▶ Even for small programs the domain is infinite.
- ▶ Testing is fundamentally about *choosing finite sets* of values from the input domain.

Input Domains

- ▶ Input parameters define the scope of the input domain:
 - ▶ Parameters to a method.
 - ▶ Data read from a file.
 - ▶ Global variables.
 - ▶ user level inputs
- ▶ Domain for each parameter is partitioned into regions.
- ▶ At least one value is chosen from each region.

Don't forget the global state can be part of your test case.

Partitions Intuition

- ▶ Elements in each partition do the same thing; for some value of 'the same thing'.
- ▶ Simple mathematical theory of partitions, allows you to work out if you are on the right track.

Benefits of Inputs Space Partitions

- ▶ Can be applied at several levels of testing:
 - ▶ Unit
 - ▶ Integration
 - ▶ System
- ▶ Relatively easy to apply with no automation.
- ▶ Easy to adjust to get more or fewer tests.
- ▶ It is possible to do black box testing, you just need to know what the input space is.

Partitions or Equivalence relations

- ▶ We want to formalise the notion of being the same.
- ▶ Given a domain. D , there are two ways of looking at partitions:
 - ▶ A partition of D is a set of sets $B_1, \dots, B_q$ that satisfy two properties:
 - ▶ Sets must be pairwise disjoint, all $i \neq j$ $B_i \cap B_j = \emptyset$.
 - ▶ Sets must cover the whole of D , that is $B_1 \cup \dots \cup B_q = D$.
 - ▶ A binary relation $\equiv_B$ on D such that:
 - ▶ for all $d \in D$, $d \equiv_B d$.
 - ▶ for all $d, e \in D$, $d \equiv_B e \Leftrightarrow e \equiv_B d$.
 - ▶ For all d, e, f $d \equiv_B e \wedge e \equiv_B f$ implies $d \equiv_B f$.

Partitions or Equivalence relations

- ▶ Given a partition $B_1, \dots, B_q$ of D then define:

$$d \equiv_B e \Leftrightarrow \exists i. d \in B_i \wedge e \in B_i$$

- ▶ Given an equivalence relation $\equiv_B$ on D then the partition consists of the sets:

$$[d]_{\equiv_B} = \{e \mid d \equiv_B e\}$$

Why is this important?

- ▶ Remember, *blocks* should:
 - ▶ be disjoint, no overlapping test cases
 - ▶ cover the domain, every element is tested.

Using Partitions – Assumptions

- ▶ Choose a value from each partition.
- ▶ Each value is assumed to be *equally useful* for testing.

It is up to the tester to work out what it means to be equally useful.

Partition design and test design

- ▶ There is no mathematical theorem that says that values in an equivalence class act the same way.
- ▶ When you design a partition as a test designer you are deciding what to treat as equal.
- ▶ Partitions also allow you mathematically define classes of tests that you believe all do the same amount of work.

Consider

if ($x > 0$) { } **else** { }

One of the most important thing about test design is documenting what you expect tests to do. Giving a partition is one way of saying that you the tester believe that values in equivalence classes behave the same.

How do we specify partitions?

Consider a function

int f(**int** x, **int** y)

- ▶ The input domain is the Cartesian product of the domains of all the input parameters.
- ▶ In theory you would do the partition on this domain.

$$D = \{-2147483647 \dots 2147483648\} \times \{-2147483647 \dots 2147483648\}$$

Sometimes you want to specify partitions on these sorts of domains, but it is often too complex.

Characteristics

Characteristics give a simple way specifying partitions.

- ▶ Application to testing:
 - ▶ Find *characteristics* in the inputs: parameters, semantic descriptions.
 - ▶ Partition each characteristic.
 - ▶ Choose tests by combining values from each characteristic.

Characteristics define blocks.

▶ Example Characteristics

- ▶ Input X is null
- ▶ Order of an input file (sorted, backwards, arbitrary)
- ▶ Minimum separation of two aircrafts.
- ▶ Input device type (DVD, CD,)

Choosing Partitions

- ▶ Choosing (or defining) partitions seems to easy, but it is equally easy to get it wrong.
- ▶ Consider the property the *order of the file*.
- ▶ Define the partition as follows:
 - ▶ b_1 = files sorted in ascending order.
 - ▶ b_2 = files sorted in descending order.
 - ▶ b_3 = files in an arbitrary order.

Something is wrong with this. What about files of length 1.

Choosing Partitions

- ▶ Choosing (or defining) partitions seems to easy, but it is equally easy to get it wrong.
- ▶ Consider the property the order of the file.
- ▶ Define the partition as follows:
 - ▶ b_1 = files sorted in ascending order.
 - ▶ b_2 = files sorted in descending order.
 - ▶ b_3 = files in an arbitrary order.

The blocks are not disjoint. Files of length 1 belong to b_1, b_2 and b_3 . Hence for $i \neq j$ $b_i \cap b_j \neq \emptyset$.

Check your Partitions

- ▶ It is important to double check that you do have a partition.
- ▶ If you do not have a partition then you have not thought carefully enough about your test case design.

Choosing Partitions

- ▶ You could just be careful.
- ▶ Or make your partitions binary and combine them. So, we have two separate partitions. Generate tests from all possible combinations of characteristics.
 - ▶ File is sorted ascending:
 - ▶ $b_1 = \text{true}$
 - ▶ $b_2 = \text{false}$.
 - ▶ File is sorted descending:
 - ▶ $b_1 = \text{true}$
 - ▶ $b_2 = \text{false}$.
- ▶ Here the combination is easy.

Properties of Partitions

- ▶ Don't forget that partitions should be complete and disjoint; if they are not then they have not been considered carefully enough.
- ▶ Review your partitions like any design attempt
- ▶ Different alternatives should be considered.

Modelling the input domain: Five stage plan

- ▶ **Step 1:** Identify testable functions
 - ▶ Individual methods
 - ▶ Functions
 - ▶ More complicated characteristics, hardware/software, etc. You might have to look beyond your code.
- ▶ **Step 2:** Find all parameters
 - ▶ Not rocket science. But be complete.
 - ▶ Don't forget global variables.
 - ▶ Don't forget the objects have states. Doing things to an empty list is different to doing things to a non-empty list.
 - ▶ Files, databases etc.

Modelling the input domain: Five stage plan

- ▶ **Step 3:** Model the input domain.
 - ▶ The domain depends on the parameters.
 - ▶ Define structure in terms of characteristics.
 - ▶ Get blocks and values.
 - ▶ This is where some creativity comes into play, you have to decide which characteristics are meaningful to you.

Modelling the input domain: Five stage plan

- ▶ **Step 4:** *Test criteria* for choosing combinations of values.
 - ▶ See later in the lecture, but you need to combine your characteristics.
 - ▶ Choosing all combinations is often infeasible.
- ▶ **Step 5:** Refine combinations of blocks into test inputs.
 - ▶ Choose appropriate values from each block.

Two Approaches to Input Domain Modelling

1. **Interface-based** approach.

- ▶ Develop characteristics directly from individual input parameters.
- ▶ Just use properties of the domains without considering the actual function under test.

2. **Functionality-based** approach

- ▶ Develop characteristics from a behavioural view of the program under test.
- ▶ Use your understanding of what the system should do.

Interface-Based vs Functionality-Based Approach Example

```
public boolean findElement(List list, Object element)
//if list or element is null throw NullPointerException
// else return true if element is in the list.
```

- ▶ Interface-Based Approach
 - ▶ Two parameters: list, element
 - ▶ Characteristics:
 - ▶ list is null ($b_1 = \text{true}$, $b_2 = \text{false}$)
 - ▶ list is empty ($b_1 = \text{true}$, $b_2 = \text{false}$)

Interface-Based vs Functionality-Based Approach Example

```
public boolean findElement(List list, Object element)
//if list or element is null throw NullPointerException
// else return true if element is in the list.
```

▶ Functionality-Based Approach

- ▶ Two parameters: list, element
- ▶ Characteristics:
 - ▶ number of occurrences of element in list

$(0, 1, > 1)$

- ▶ element occurs first in list

$(\text{true}, \text{false})$

Sources for functionality-based characteristics

- ▶ The specification, or intended behaviour.
- ▶ Pre-conditions: tell what ranges and values you expect for input variables.
- ▶ Post conditions: often give you relationships between input and output parameters.

Step 3: Modelling the Input Domain

- ▶ Partitioning characteristics into blocks and values can be creative.
- ▶ More blocks means more tests.
- ▶ The partitioning often flows directly from the definition of the *characteristics*.
- ▶ Strategies for identifying values:
 - ▶ Include *valid*, *invalid* and *special* values.
 - ▶ Explore *boundaries* of domains.
 - ▶ Include values that represent normal use.
 - ▶ Don't forget that partitions must be *complete* **and** *disjoint*

Special Values

Some programming languages such as Java have special values such as `null`. Use these in your partitions.

TriType example

As shown in the book.

```
int Tritype(double i , double j , double k)
```

Should return the type of triangle with side length i , j and k .

- ▶ 3 = scalene (All sides different length)
- ▶ 2 = equilateral triangle
- ▶ 1 = isoceles triangle
- ▶ 0 = Not a triangle.

It is quite hard to get it right.

TriType Interface-Based Approach

- ▶ One testable function, and three integer inputs.

Characteristic	b_1	b_2	b_3
$q_1 =$ Relation of i to 0	> 0	$= 0$	< 0
$q_2 =$ Relation of j to 0	> 0	$= 0$	< 0
$q_3 =$ Relation of k to 0	> 0	$= 0$	< 0

- ▶ Pick one test from each square, a maximum of $3 * 3 * 3 = 27$ tests.
- ▶ You have to work out which are valid and which are invalid.

TriType Functional Based Approach

- ▶ We are classifying triangles.
- ▶ Geometric approach.

Characteristic	b_1	b_2	b_3	b_4
Geometric	scalene	isosceles	equilateral	invalid

- ▶ Do we have a partition?
- ▶ No, an equilateral triangle is also an isosceles triangle.

TriType Functional Based Approach

Characteristic	b_1	b_2	b_3	b_4
Geometric	scalene	isosceles	equilateral	invalid

- ▶ Do we have a partition?
- ▶ No, an equilateral is also isosceles.
- ▶ So refine it to:

Characteristic	b_1	b_2	b_3	b_4
Geometric	scalene	isosceles, not equilateral	equilateral	invalid

Example values $(4, 5, 6) \in b_1$, $(3, 3, 4) \in b_2$, $(3, 3, 3) \in b_3$,
 $(3, 4, 8) \in b_4$.

Choosing Combinations of Values

- ▶ We play the usual game.
- ▶ Lots of different characteristics, how do we combine them?
- ▶ Most obvious:
 - ▶ *All Combinations (ACoC)*: All combinations of blocks from all characteristics must be used.
- ▶ Number of tests equals the product of the number of blocks in each characteristic.

Choosing Combinations of Values

- ▶ Usual story, too many test cases!
- ▶ We should at least choose a value from each block at least once.
 - ▶ *Each Choice (EC)*: Each value from each block, for each characteristic, must be used in at least one test case.
- ▶ Yields few tests and is cheap, but maybe does not provide such good coverage.

Pair-Wise

- ▶ *Pair-Wise (PW)*: A value from each block, for each characteristic, must be combined with a value from every other block, for each other characteristic.
- ▶ Given three partitions $[A, B]$, $[q_1, q_2, q_3]$ and $[x, y]$. We would get the requirements:

Requirements:

(A, q_1)	(B, q_1)	(x, q_1)
(A, q_2)	(B, q_2)	(y, q_1)
(A, q_3)	(B, q_3)	(x, q_2)
(A, x)	(B, x)	(y, q_2)
(A, y)	(B, y)	(x, q_3)
		(y, q_3)

Combinations:

(A, q_1, x)	(B, q_1, y)
(A, q_2, x)	(B, q_2, y)
(A, q_3, x)	(B, q_3, y)
(A, x, y)	(B, x, y)

Constraints Among Characteristics

Back to the triangle type.

- ▶ Some combinations are infeasible. For example:
 - ▶ “Less than zero” and “scalene” are not possible at the same time.
- ▶ So when you combine tests from different blocks you have to be careful.
- ▶ As you might guess there are lots of possible ways of combining things.
- ▶ For what you are doing, think hard about your partitions and combinations. Generate quality test cases.

Input Space Partitioning Summary

- ▶ Fairly easy to apply
- ▶ By refining or merging partitions you can add or remove tests.
- ▶ Various strategies for combining characteristics.
- ▶ Based only on the input space of the program, not on the implementation.
- ▶ Even if are just looking at the data types and do things like “NULL”, “Empty”, ... you are using partitions.
- ▶ Designing good partitions can be a good way of generating good quality test cases.