CS61C – Machine Structures

Lecture 13 – Input/Output: Polling and Interrupts

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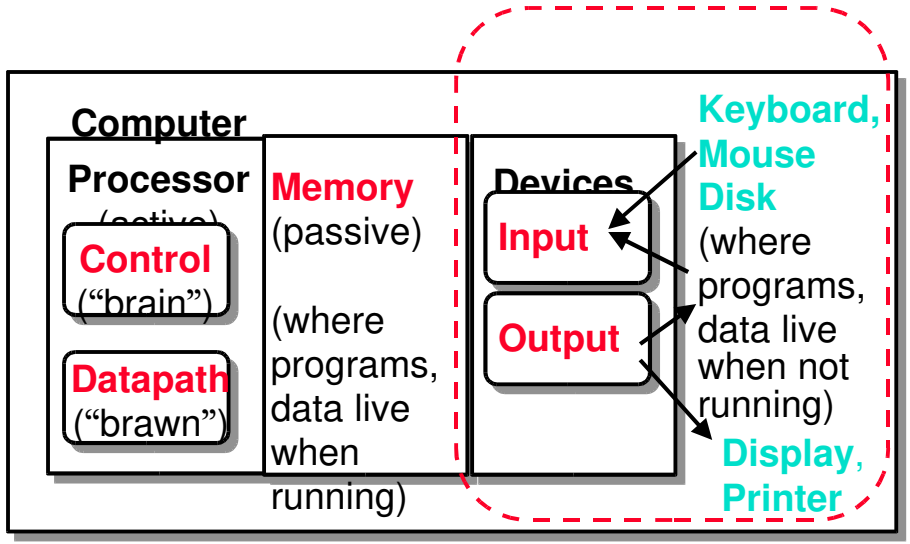
David Patterson

http://www–inst.eecs.berkeley.edu/~cs61c/

Outline

- I/O Background
- Polling
- Interrupts

Anatomy: 5 components of any Computer



Motivation for Input/Output

- I/O is how humans interact with computers
- I/O lets computers do amazing things:

- Read pressure of synthetic hand and control synthetic arm and hand of fireman

- Control propellers, fins, communicate in BOB (Breathable Observable Bubble)

- Read bar codes of items in refrigerator

- Computer without I/O like a car without wheels; great technology, but won't get you anywhere

Instruction Set Architecture for I/O

- Some machines have special input and output instructions
- Alternative model (used by MIPS):
  - Input: ~ reads a sequence of bytes
  - Output: ~ writes a sequence of bytes
- Memory also a sequence of bytes, so use loads for input, stores for output
  - Called "Memory Mapped Input/Output"
  - A portion of the address space dedicated to communication paths to Input or Output devices (no memory there)

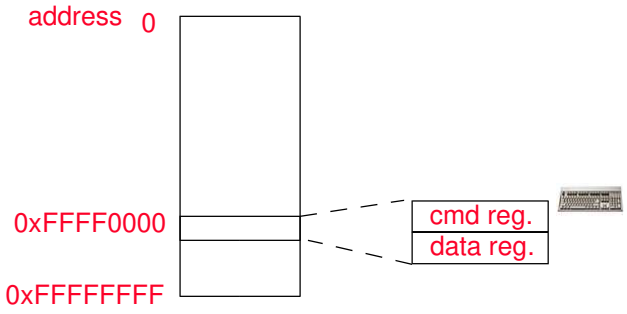
I/O Device Examples and Speeds

- I/O Speed: bytes transferred per second (from mouse to display: million–to–1)

Device	Behavior	Partner	Data Rate (Kbytes/sec)
Keyboard	Input	Human	0.01
Mouse	Input	Human	0.02
Line Printer	Output	Human	1.00
Floppy disk	Storage	Machine	50.00
Laser Printer	Output	Human	[illegible]

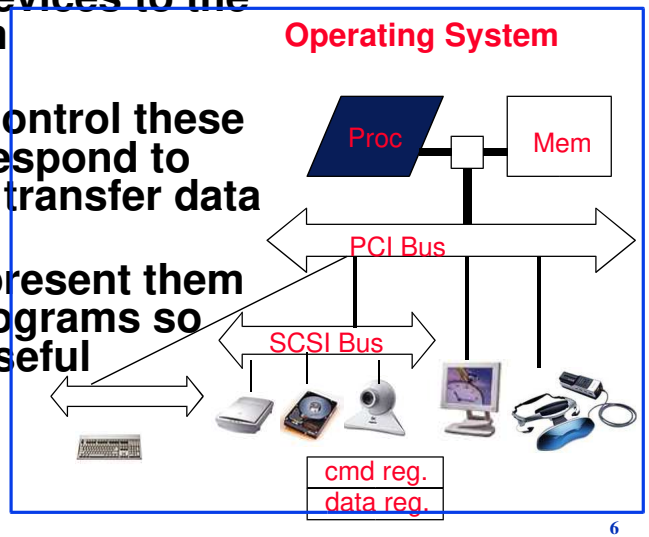
Memory Mapped I/O

- Certain addresses are not regular memory
- Instead, they correspond to registers in I/O devices



What do we need to make I/O work?

- A way to connect many types of devices to the Proc–Mem
- A way to control these devices, respond to them, and transfer data
- A way to present them to user programs so they are useful



Processor–I/O Speed Mismatch

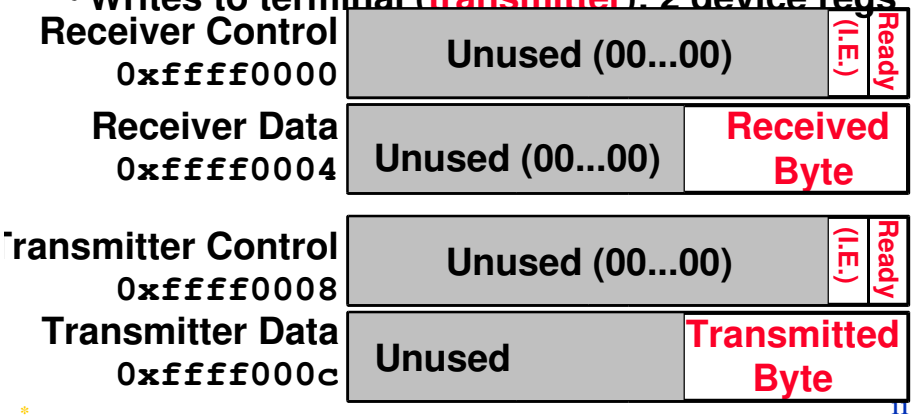
- 500 MHz microprocessor can execute 500 million load or store instructions per second, or 2,000,000 KB/s data rate
  - I/O devices from 0.01 KB/s to 30,000 KB/s
- Input: device may not be ready to send data as fast as the processor loads it
  - Also, might be waiting for human to act
- Output: device may not be ready to accept data as fast as processor stores it
- What to do?

Processor Checks Status before Acting

- Path to device generally has 2 registers:
  - 1 register says it's OK to read/write (I/O ready), often called Control Register
  - 1 register that contains data, often called Data Register
- Processor reads from Control Register in loop, waiting for device to set Ready bit in Control reg to say its OK (0 Þ 1)
- Processor then loads from (input) or writes to (output) data register
  - Load from device/Store into Data Register resets Ready bit (1 Þ 0) of Control Register

SPIM I/O Simulation

- SPIM simulates 1 I/O device: memory–mapped terminal (keyboard + display)
  - Read from keyboard (receiver); 2 device regs
  - Writes to terminal (transmitter); 2 device regs



SPIM I/O

- Control register rightmost bit (0): Ready
  - Receiver: Ready==1 means character in Data Register not yet been read; 1 Þ 0 when data is read from Data Reg
  - Transmitter: Ready==1 means transmitter is ready to accept a new character; 0 Þ Transmitter still busy writing last char
    - I.E. bit discussed later
- Data register rightmost byte has data
  - Receiver: last char from keyboard; rest = 0
  - Transmitter: when write rightmost byte, writes char to display

I/O Example

- Input: Read from keyboard into $v0

```
lui          $t0, 0xffff #ffff0000W
 itloop:     lw   $t1, 0($t0) #control
 ndi         $t1,$t1,0x0001
 eq          $t1,$zero, Waitloop
 w           $v0, 4($t0) #data
```

- Output: Write to display from $a0

```
lui          $t0, 0xffff #ffff0000W
 itloop:     lw   $t1, 8($t0) #control
 ndi         $t1,$t1,0x0001
 eq          $t1,$zero, Waitloop
 w           $a0, 12($t0) #data
```

- Processor waiting for I/O called "Polling"

"What's This Stuff Good For?"

Remote Diagnosis:
"NeoRest ExII," a high-tech toilet features microprocessor-controlled seat warmers, automatic lid openers, air deodorizers, water sprays and blow-dryers that do away with the need for toilet tissue. About 25 percent of new homes in Japan have a "washlet," as these toilets are called. Toto's engineers are now working on a model that analyzes urine to determine blood-sugar levels in diabetics and then automatically sends a daily report, by modem, to the user's physician.
One Digital Day, 1998
www.intel.com/onedigitalday

Cost of Polling?

- Assume for a processor with a 500-MHz clock it takes 400 clock cycles for a polling operation (call polling routine, accessing the device, and returning). Determine % of processor time for polling
  - Mouse: polled 30 times/sec so as not to miss user movement
  - Floppy disk: transfers data in 2-byte units and has a data rate of 50 KB/second. No data transfer can be missed.
  - Hard disk: transfers data in 16-byte chunks and can transfer at 8 MB/second. Again, no transfer can be missed.

% Processor time to poll mouse, floppy

- Mouse Polling Clocks/sec

  = 30 * 400 = 12000 clocks/sec

- % Processor for polling:

  $12*10^3/500*10^6 = 0.002\%$

  Þ Polling mouse little impact on processor

- Times Polling Floppy/sec

  = 50 KB/s /2B = 25K polls/sec

- Floppy Polling Clocks/sec

  = 25K * 400 = 10,000,000 clocks/sec

- % Processor for polling:

  $10*10^6/500*10^6 = 2\%$

I/O Interrupt

- An I/O interrupt is like an overflow exceptions except:
  - An I/O interrupt is “asynchronous”
  - More information needs to be conveyed
- An I/O interrupt is asynchronous with respect to instruction execution:
  - I/O interrupt is not associated with any instruction, but it can happen in the middle of any given instruction
  - I/O interrupt does not prevent any instruction from completion

% Processor time to hard disk

- Times Polling Disk/sec

  = 8 MB/s /16B = 500K polls/sec
- Disk Polling Clocks/sec

  = 500K * 400 = 200,000,000 clocks/sec
- % Processor for polling:

  $200*10^6/500*10^6$ = 40%

  Þ Unacceptable

Definitions for Clarification

- **Exception**: signal marking that something “out of the ordinary” has happened and needs to be handled
- **Interrupt**: asynchronous exception
- **Trap**: synchronous exception
- Note: These are different from the book’s definitions.

What is the alternative to polling?

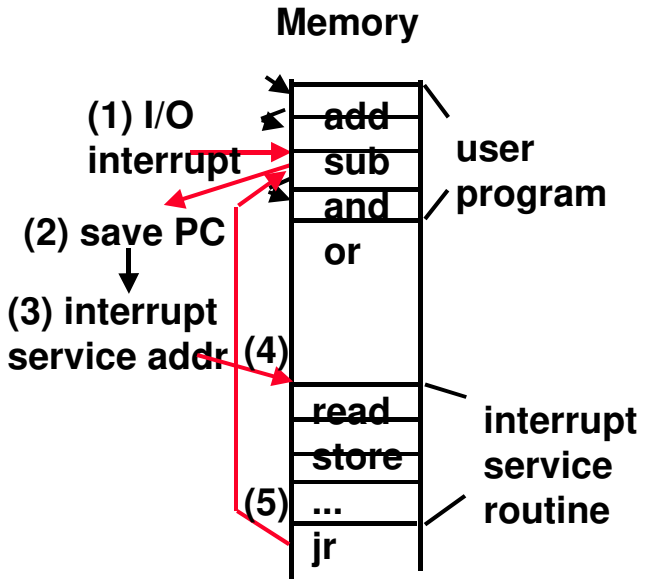
- Wasteful to have processor spend most of its time “spin–waiting” for I/O to be ready
- Wish we could have an unplanned procedure call that would be invoked only when I/O device is ready
- Solution: use exception mechanism to help I/O. Interrupt program when I/O ready, return when done with data transfer

Instruction Set Support for I/O Interrupt

- Portion of MIPS architecture for interrupts called “coprocessor 0”
- Coprocessor 0 Instructions
  - Data transfer: lwc0, swc0
  - Move: mfc0, mtc0
- Coprocessor 0 Registers:

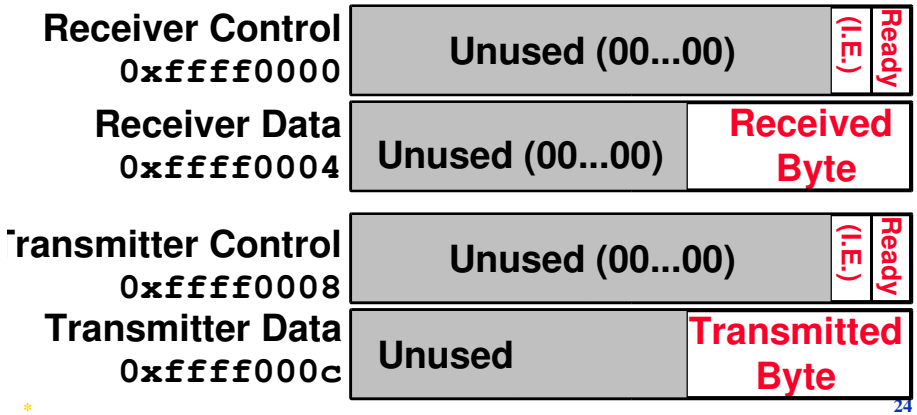
name	*number*	*usage*
BadVAddr	$8	Address of Int
Status…….	$12	Interrupt enable
Cause	$13	Exception type
EPC	$14	Return address

Interrupt Driven Data Transfer



SPIM I/O Simulation: Interrupt Driven I/O

- I.E. stands for Interrupt Enable
- Set Interrupt Enable bit to 1 have interrupt occur whenever Ready bit is set



Instruction Set Support for I/O Interrupt

- Save the PC for return
  - But where?
- Where go when interrupt occurs?
  - MIPS defines location: 0x80000080
- Determine cause of interrupt?
  - MIPS has Cause Register, 4–bit field (bits 5 to 2) gives cause of exception

4 Responsibilities leading to OS

- The I/O system is shared by multiple programs using the processor
- Low–level control of I/O device is complex because requires managing a set of concurrent events and because requirements for correct device control are often very detailed
- I/O systems often use interrupts to communicate information about I/O operations
- Would like I/O services for all user programs under safe control

Benefit of Interrupt–Driven I/O

- 500 clock cycle overhead for each transfer, including interrupt. Find the % of processor consumed if the hard disk is only active 5% of the time.
- Interrupt rate = polling rate
  - Disk Interrupts/sec = 8 MB/s /16B = 500K interrupts/sec
  - Disk Polling Clocks/sec = 500K * 500 = 250,000,000 clocks/sec
  - % Processor for during transfer: $250*10^6/500*10^6 = 50\%$
- Disk active 5% Þ 5% * 50% Þ 2.5% busy

4 Functions OS must provide

- OS guarantees that user's program accesses only the portions of I/O device to which user has rights (e.g., file access)
- OS provides abstractions for accessing devices by supplying routines that handle low–level device operations
- OS handles the exceptions generated by I/O devices (and arithmetic exceptions generated by a program)
- OS tries to provide equitable access to the shared I/O resources, as well as schedule accesses in order to enhance system performance

Questions Raised about Interrupts

- Which I/O device caused exception?
  - Needs to convey the identity of the device generating the interrupt
- Can avoid interrupts during the interrupt routine?
  - What if more important interrupt occurs while servicing this interrupt?
  - Allow interrupt routine to be entered again?
- Who keeps track of status of all the devices, handle errors, know where to put/supply the I/O data?

Things to Remember

- I/O gives computers their 5 senses
- I/O speed range is million to one
- Processor speed means must synchronize with I/O devices before use
- Polling works, but expensive
  - processor repeatedly queries devices
- Interrupts works, more complex
  - devices causes an exception, causing OS to run and deal with the device
- I/O control leads to Operating Systems