

# EDF-VD Scheduling of Mixed-Criticality Systems with Degraded Quality Guarantees

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**Abstract**—This paper studies real-time scheduling of mixed-criticality systems where low-criticality tasks are still guaranteed some service in the high-criticality mode, with reduced execution budgets. First, we present a utilization-based schedulability test for such systems under EDF-VD scheduling. Second, we quantify the suboptimality of EDF-VD (with our test condition) in terms of speedup factors. In general, the speedup factor is a function with respect to the ratio between the amount of resource required by different types of tasks in different criticality modes, and reaches  $4/3$  in the worst case. Furthermore, we show that the proposed utilization-based schedulability test and speedup factor results apply to the elastic mixed-criticality model as well. Experiments show effectiveness of our proposed method and confirm the theoretical suboptimality results.

## I. INTRODUCTION

An important trend in real-time embedded systems is to integrate applications with different criticality levels into a shared platform in order to reduce resource cost and energy consumption. To ensure the correctness of a *mixed-criticality* (MC) system, highly critical tasks are subject to certification by Certification Authorities under extremely rigorous and pessimistic assumptions [1]. This generally causes large worst-case execution time (WCET) estimation for high-criticality tasks. On the other hand, the system designer needs to consider the timing requirement of the entire system, but under less conservative assumptions. The challenge in scheduling MC systems is to simultaneously guarantee the timing correctness of (1) only high-criticality tasks under very pessimistic assumptions, and (2) all tasks, including low-critical ones, under less pessimistic assumptions.

The scheduling problem of MC systems has been intensively studied in recent years (see Section II for a brief review). In most of previous works, the timing correctness of high-criticality tasks are guaranteed in the worst case scenario at the expense of low-criticality tasks. More specifically, when any high criticality task executes for longer than its low-criticality WCET (and thus the system enters the high-criticality mode), all low-criticality tasks will be completely discarded and the resource are all dedicated for meeting the timing constraints of high-criticality tasks [2]–[5]. However, such an approach seriously disturbs the service of low-criticality tasks. This is not acceptable in many practical problems, especially for control systems where the performance of controllers mainly depends on the execution frequency of control tasks [6].

To overcome this problem, Burns and Baruah in [7] introduced an MC task model where low-criticality tasks reduce their execution budgets such that low-criticality tasks are guaranteed to be scheduled in high-criticality mode with regular execution frequency (i.e., the same period) but degraded quality<sup>1</sup>. Since the idea of reducing execution budgets to keep tasks running is conceptually similar to the *imprecise computation model* [8] [9], in this paper we call an MC system with possibly reduced execution budgets of low criticality tasks an *imprecise mixed criticality* (IMC) system.

In [7], the authors consider preemptive fixed-priority scheduling for the IMC system model and extend the adaptive mixed criticality (AMC) [3] approach to provide a schedulability test for the IMC model. In this paper, we study the EDF-VD scheduling of IMC systems. EDF-VD is designed for the classical MC system model, in which EDF algorithm is enhanced by deadline adjustment mechanisms to compromise the resource requirement on different criticality levels. EDF-VD has shown strong competence by both theoretical and empirical evaluations [2], [4], [5]. For example, [2] proves that EDF-VD is a speedup-optimal MC scheduling and in [4], [5] experimental evaluations show that EDF-VD outperforms other MC scheduling algorithms in terms of acceptance ratio. The main technical contributions of this paper include

- We propose a sufficient test for the IMC model under EDF-VD, - see Theorem 3 in Section IV;
- For the IMC model under EDF-VD, we derive a speedup factor function with respect to the utilization ratios of high criticality tasks and low criticality tasks - see Theorem 4 in Section V. The derived speedup factor function enables us to quantify the suboptimality of EDF-VD and evaluate the impact of the utilization ratios on the speedup factor. We also compute the maximum value  $4/3$  of the speedup factor function, which is equal to the speedup factor bound for the classical MC model [2].
- With extensive experiments, we show that for the IMC model, by using our proposed sufficient test, in most cases EDF-VD outperforms AMC [7] in terms of the number of schedulable task sets. Moreover, the experimental results validate the observations we obtained for speedup factor.

<sup>1</sup>In [8] [9], the output quality of a task is related to its execution time. The longer a task executes, the better quality results it produces.

Moreover, the schedulability test and speedup factor results of this paper also apply to the *elastic mixed-criticality* (EMC) model proposed in [6], where the periods of low-criticality tasks are scaled up in high-criticality mode, see in Section VI.

The remainder of this paper is organized as follows: Section II discusses the related work. Section III gives the preliminaries and describes the IMC task model and its execution semantics. Section IV presents our sufficient test for the IMC model and Section V derives the speedup factor function for the IMC under EDF-VD. Section VI extends the proposed sufficient test to the EMC model. Finally, Section VII shows our experimental results and Section VIII concludes this paper.

## II. RELATED WORK

Burns and Davis in [10] give a comprehensive review of work on real-time scheduling for MC systems. Many of these literatures, e.g., [2] [4] [5], consider the classical MC model in which all low criticality tasks are discarded if the system switches to the high criticality mode. In [7], Burns and Baruah discuss three approaches to keep some low criticality tasks running in *high-criticality* mode. The first approach is to change the priority of low criticality tasks. However, for fixed-priority scheduling, deprioritizing low criticality tasks cannot guarantee the execution of the low criticality tasks with a short deadline after the mode switches. [7]. Similarly, for EDF, lowering priority of low criticality tasks leads to a degraded service [11]. In this paper, we consider the IMC model which improves the schedulability of low criticality tasks in *high-criticality* mode by reducing their execution time. The IMC model can guarantee the regular service of a system by trading off the quality of the produced results. For some applications given in [8] [9] [12], such trade-off is preferred. The second approach in [7] is to extend the periods of low criticality tasks when the system mode changes to *high-criticality* mode such that the low criticality tasks execute less frequently to ensure their schedulability. Su *et al.* [6] [13] and Jan *et al.* [14] both consider this model. However, some applications might prefer an on-time result with a degraded quality rather than a delayed result with a perfect quality. Some example applications can be seen in [15] [8] [9]. Then, the approach of extending periods is less useful for this kind of applications. The last approach proposed in [7] is to reduce the execution budget of low criticality tasks when the system mode switches, i.e., the use of the IMC model studied in this paper. In [7], the authors extend the AMC [3] approach to test the schedulability of an IMC task set under fixed-priority scheduling. However, the schedulability problem for an IMC task set under EDF-VD [2], has not yet been addressed. Therefore, in this paper, we study the schedulability of the IMC task model under EDF-VD and propose a sufficient test for it.

## III. PRELIMINARIES

This section first introduces the IMC task model and its execution semantics. Then, we give a brief explanation for EDF-VD scheduling [2] and an example to illustrate the execution semantics of the IMC model under EDF-VD scheduling.

### A. Imprecise Mixed-Criticality Task Model

We use the *implicit-deadline sporadic* task model given in [7] where a task set  $\gamma$  includes  $n$  tasks which are scheduled on a uniprocessor. Without loss of generality, all tasks in  $\gamma$  are assumed to start at time 0. Each task  $\tau_i$  in  $\gamma$  generates an infinite sequence of jobs  $\{J_i^1, J_i^2, \dots\}$  and is characterized by  $\tau_i = \{T_i, D_i, L_i, C_i\}$ :

- $T_i$  is the period or the minimal separation interval between two consecutive jobs;
- $D_i$  denotes the relative task deadline, where  $D_i = T_i$ ;
- $L_i \in \{LO, HI\}$  denotes the criticality (*low or high*) of a task. In this paper, like in many previous research works [6] [11] [2] [4] [5], we consider a dual-criticality MC model. Then, we split tasks into two task sets,  $\gamma_{LO} = \{\tau_i | L_i = LO\}$  and  $\gamma_{HI} = \{\tau_i | L_i = HI\}$ ;
- $C_i = \{C_i^{LO}, C_i^{HI}\}$  is a list of WCETs, where  $C_i^{LO}$  and  $C_i^{HI}$  represent the WCET in *low-criticality* mode and the WCET in *high-criticality* mode, respectively. For a *high-criticality* task, it has  $C_i^{LO} \leq C_i^{HI}$ , whereas  $C_i^{LO} \geq C_i^{HI}$  for a *low-criticality* task, i.e., *low-criticality* task  $\tau_i$  has a *reduced WCET* in *high-criticality* mode.

Then each job  $J_i$  is characterized by  $J_i = \{a_i, d_i, L_i, C_i\}$ , where  $a_i$  is the absolute release time and  $d_i$  is the absolute deadline. Note that if *low-criticality* task  $\tau_i$  has  $C_i^{HI} = 0$ , it will be immediately discarded at the time of the switch to *high-criticality* mode. In this case, the IMC model behaves like the classical MC model.

The utilization of a task is used to denote the ratio between its WCET and its period. We define the following utilizations for an IMC task set  $\gamma$ :

- For every task  $\tau_i$ , it has  $u_i^{LO} = \frac{C_i^{LO}}{T_i}$ ,  $u_i^{HI} = \frac{C_i^{HI}}{T_i}$ ;
- For all *low-criticality* tasks, we have total utilizations

$$U_{LO}^{LO} = \sum_{\forall \tau_i \in \gamma_{LO}} u_i^{LO}, \quad U_{LO}^{HI} = \sum_{\forall \tau_i \in \gamma_{LO}} u_i^{HI}$$

- For all *high-criticality* tasks, we have total utilizations

$$U_{HI}^{LO} = \sum_{\forall \tau_i \in \gamma_{HI}} u_i^{LO}, \quad U_{HI}^{HI} = \sum_{\forall \tau_i \in \gamma_{HI}} u_i^{HI}$$

- For an IMC task set, we have

$$U^{LO} = U_{LO}^{LO} + U_{HI}^{LO}, \quad U^{HI} = U_{LO}^{HI} + U_{HI}^{HI}$$

### B. Execution Semantics of the IMC Model

The execution semantics of the IMC model are similar to those of the classical MC model. The **major difference** occurs after a system switches to *high-criticality* mode. *Instead of discarding all low-criticality tasks, as it is done in the classical MC model, the IMC model tries to schedule low-criticality tasks with their reduced execution times  $C_i^{HI}$ .* The execution semantics of the IMC model are summarized as follows:

- The system starts in *low-criticality* mode, and remains in this mode as long as no *high-criticality* job overruns its *low-criticality* WCET  $C_i^{LO}$ . If any job of a *low-criticality* task tries to execute beyond its  $C_i^{LO}$ , the system will suspend it and launch a new job at the next period;

Task	$L$	$C_i^{LO}$	$C_i^{HI}$	$T_i$	$\hat{D}_i$
$\tau_1$	LO	4	2	9	
$\tau_2$	HI	4	8	10	7

Table I: Illustrative example

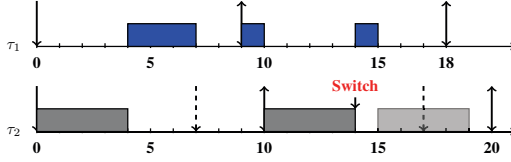


Figure 1: Scheduling of Example I

- If any job of *high-criticality* task executes for its  $C_i^{LO}$  time units without signaling completion, the system immediately switches to *high-criticality* mode;
- As the system switches to *high-criticality* mode, if jobs of *low-criticality* tasks have completed execution for more than their  $C_i^{HI}$  but less than their  $C_i^{LO}$ , the jobs will be suspended till the tasks release new jobs for the next period. However, if jobs of *low-criticality* tasks have not completed their  $C_i^{HI}$  ( $\leq C_i^{LO}$ ) by the switch time instant, the jobs will complete the left execution to  $C_i^{HI}$  after the switch time instant and before their deadlines. Hereafter, all jobs are scheduled using  $C_i^{HI}$ . For *high-criticality* tasks, if their jobs have not completed their  $C_i^{LO}$  ( $\leq C_i^{HI}$ ) by the switch time instant, all jobs will continue to be scheduled to complete  $C_i^{HI}$ . After that, all jobs are scheduled using  $C_i^{HI}$ .

Santy *et al.* [16] have shown that the system can switch back from the *high-criticality* mode to the *low-criticality* mode when there is an idle period and no *high-criticality* job awaits for execution. For the IMC model, we can use the same scenario to trigger the switch-back. In this paper, we focus on the switch from *low-criticality* mode to *high-criticality* mode.

### C. EDF-VD Scheduling

The challenge to schedule MC tasks with EDF scheduling algorithm [17] is to deal with the overrun of *high-criticality* tasks when the system switches from *low-criticality* mode to *high-criticality* mode. Baruah *et al.* in [2] proposed to artificially tighten deadlines of jobs of *high-criticality* tasks in *low-criticality* mode such that the system can preserve execution budgets for the *high-criticality* tasks across mode switches. This approach is called *EDF with virtual deadlines* (EDF-VD).

### D. An Illustrative Example

Here, we give a simple example to illustrate the execution semantics of the IMC model under EDF-VD. Table I gives two tasks, one *low-criticality* task  $\tau_1$  and one *high-criticality* task  $\tau_2$ , where  $\hat{D}_i$  is the virtual deadline. Figure 1 depicts the scheduling of the given IMC task set, where we assume that the mode switch occurs in the second period of  $\tau_2$ . When the system switches to *high-criticality* mode,  $\tau_2$  will be scheduled by its original deadline 10 instead of its virtual deadline 7. Hence,  $\tau_1$  preempts  $\tau_2$  at the switch time instant. Since in *high-criticality* mode  $\tau_1$  only has execution budget of 2, i.e.,

$C_1^{HI}$ ,  $\tau_1$  executes one unit and suspends. Then,  $\tau_2$  completes its left execution 4 ( $C_2^{HI} - C_2^{LO}$ ) before its deadline.

## IV. SCHEDULABILITY ANALYSIS

In [7], an AMC-based schedulability test for the IMC model under fixed priority scheduling has been proposed. However, to date, a schedulability test for the IMC model under EDF-VD has not been addressed yet. Therefore, inspired by the work in [2] for the classical MC model, we propose a sufficient test for the IMC model under EDF-VD.

### A. Low Criticality Mode

We first ensure the schedulability of tasks when they are in *low-criticality* mode. As the task model is in *low-criticality* mode, the tasks can be considered as traditional real-time tasks scheduled by EDF algorithm with virtual deadlines (VD). The following theorem is given in [2] for tasks scheduled in *low-criticality* mode.

**Theorem 1** (Theorem 1 from [2]). *The following condition is sufficient for ensuring that EDF-VD successfully schedules all tasks in low-criticality mode:*

$$1 \geq \frac{U_{HI}^{LO}}{x} + U_{LO}^{LO} \quad (1)$$

where  $x \in (0, 1)$  is used to uniformly modify the relative deadline of *high-criticality* tasks.

Since the IMC model behaves as the classical MC model in *low-criticality* mode, Theorem 1 holds for the IMC model as well.

### B. High Criticality Mode

For *high-criticality* mode, the classical MC model discards all *low-criticality* jobs after the switch to *high-criticality* mode. In contrast, the IMC model keeps *low-criticality* jobs running but with degraded quality, i.e., a shorter execution time. So the schedulability condition in [2] does not work for the IMC model in the *high-criticality* mode. Thus, we need a new test for the IMC model in *high-criticality* mode.

To derive the sufficient test in *high-criticality* mode, suppose that there is a time interval  $[0, t_2]$ , where a first deadline miss occurs at  $t_2$  and  $t_1$  denotes the time instant of the switch to *high-criticality* mode in the time interval, where  $t_1 < t_2$ . Assume that  $\mathcal{J}$  is the minimal set of jobs generated from task set  $\gamma$  which leads to the first deadline miss at  $t_2$ . The minimality of  $\mathcal{J}$  means that removing any job in  $\mathcal{J}$  guarantees the schedulability of the rest of  $\mathcal{J}$ . Here, we introduce some notations for our later interpretation. Let variable  $\eta_i$  denote the cumulative execution time of task  $\tau_i$  in the interval  $[0, t_2]$ .  $J_1$  denotes a special *high-criticality* job which has switch time instant  $t_1$  within its period  $(a_1, d_1)$ , i.e.,  $a_1 < t_1 < d_1$ . Furthermore,  $J_1$  is the job with the earliest release time amongst all *high-criticality* jobs in  $\mathcal{J}$  which execute in  $[t_1, t_2]$ . Moreover, we define a special type of job for *low-criticality* tasks which is useful for our later proofs.

**Definition 1.** *A job  $J_i$  from low-criticality task  $\tau_i$  is a carry-over job, if its absolute release time  $a_i$  is before and its*

absolute deadline  $d_i$  is after the switch time instant, i.e.,  $a_i < t_1 < d_i$ .

With the notations introduced above, we have the following propositions,

**Proposition 1** (Fact 1 from [2]). *All jobs in  $\mathcal{J}$  that execute in  $[t_1, t_2)$  have deadline  $\leq t_2$ .*

It is easy to observe that only jobs which have deadlines  $\leq t_2$  are possible to cause a deadline miss at  $t_2$ . If a job has its deadline  $> t_2$  and is still in set  $\mathcal{J}$ , it will contradict the minimality of  $\mathcal{J}$ .

**Proposition 2.** *The switch time instant  $t_1$  has*

$$t_1 < (a_1 + x(t_2 - a_1)) \quad (2)$$

*Proof:* Let us consider a time instant  $(a_1 + x(d_1 - a_1))$  which is the virtual deadline of job  $J_1$ . Since  $J_1$  executes in time interval  $[t_1, t_2)$ , its virtual deadline  $(a_1 + x(d_1 - a_1))$  must be greater than the switch time instant  $t_1$ . Otherwise, it should have completed its low-criticality execution before  $t_1$ , and this contradicts that it executes in  $[t_1, t_2)$ . Thus, it has

$$\begin{aligned} t_1 &< (a_1 + x(d_1 - a_1)) \\ \Rightarrow t_1 &< (a_1 + x(t_2 - a_1)) \quad (\text{since } d_1 \leq t_2) \end{aligned}$$

■

**Proposition 3.** *If a carry-over job  $J_i$  has its cumulative execution equal to  $(d_i - a_i)u_i^{LO}$  and  $u_i^{LO} > u_i^{HI}$ , its deadline  $d_i$  is  $\leq (a_1 + x(t_2 - a_1))$ .*

*Proof:* For a carry-over job  $J_i$ , if it has its cumulative execution equal to  $(d_i - a_i)u_i^{LO}$  and  $u_i^{LO} > u_i^{HI}$ , it should complete its  $C_i^{LO}$  execution before  $t_1$ . Otherwise, if job  $J_i$  has executed time units  $C_i \in [C_i^{HI}, C_i^{LO})$  at time instant  $t_1$ , it will be suspended and will not execute after  $t_1$ .

Now, we will show that when job  $J_i$  completes its  $C_i^{LO}$  execution, its deadline is  $d_i \leq (a_1 + x(t_2 - a_1))$ . We prove this by contradiction. First, we suppose that  $J_i$  has its deadline  $d_i > (a_1 + x(t_2 - a_1))$  and release time  $a_i$ . As shown above, job  $J_i$  completes its  $C_i^{LO}$  execution before  $t_1$ . Let us assume a time instant  $t^*$  as the latest time instant at which this carry-over job  $J_i$  starts to execute before  $t_1$ . This means that at this time instant all jobs in  $\mathcal{J}$  with deadline  $\leq (a_1 + x(t_2 - a_1))$  have finished their executions. This indicates that these jobs will not have any execution within interval  $[t^*, t_2]$ . Therefore, jobs in  $\mathcal{J}$  with release time at or after time instant  $t^*$  can form a smaller job set which causes a deadline miss at  $t_2$ . Then, it contradicts the minimality of  $\mathcal{J}$ . Thus, carry-over job  $J_i$  with its cumulative execution time equal to  $(d_i - a_i)u_i^{LO}$  and  $u_i^{LO} > u_i^{HI}$  has its deadline  $d_i \leq (a_1 + x(t_2 - a_1))$ . ■

With the propositions and notations given above, we derive an upper bound of the cumulative execution time  $\eta_i$  of low-criticality task  $\tau_i$ .

**Lemma 1.** *For any low-criticality task  $\tau_i$ , it has*

$$\eta_i \leq (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \quad (3)$$

*Proof:* If  $u_i^{LO} = u_i^{HI}$ , it is trivial to see that Lemma 1 holds. Below we focus on the case when  $u_i^{LO} > u_i^{HI}$ . If a system switches to high-criticality mode at  $t_1$ , then we know that low-criticality tasks are scheduled using  $C_i^{LO}$  before  $t_1$  and using  $C_i^{HI}$  after  $t_1$ . To prove this lemma, we need to consider two cases, where  $\tau_i$  releases a job within interval  $(a_1, t_2]$  or it does not. We prove the two cases separately.

**Case A** (task  $\tau_i$  releases a job within interval  $(a_1, t_2]$ ): There are two sub-cases to be considered.

- **Sub-case 1 (No carry-over job):** The deadline of a job of low-criticality task  $\tau_i$  coincides with switch time instant  $t_1$ . The cumulative execution time of low-criticality task  $\tau_i$  within time interval  $[0, t_2]$  can be bounded as follows,

$$\eta_i \leq (t_1 - 0) \cdot u_i^{LO} + (t_2 - t_1) \cdot u_i^{HI}$$

Since  $t_1 < (a_1 + x(t_2 - a_1))$  according to Proposition 2 and for low-criticality task  $\tau_i$  it has  $u_i^{LO} > u_i^{HI}$ , then

$$\begin{aligned} \eta_i &< (a_1 + x(t_2 - a_1))u_i^{LO} + (t_2 - (a_1 + x(t_2 - a_1)))u_i^{HI} \\ \Leftrightarrow \eta_i &< (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \end{aligned}$$

- **Sub-case 2 (with carry-over job):** In this case, before the carry-over job, jobs of  $\tau_i$  are scheduled with its  $C_i^{LO}$ . After the carry-over job, jobs of  $\tau_i$  are scheduled with its  $C_i^{HI}$ . It is trivial to observe that for a carry-over job its maximum cumulative execution time can be obtained when it completes its  $C_i^{LO}$  within its period  $[a_i, d_i]$ , i.e.,  $(d_i - a_i)u_i^{LO}$ . Considering the maximum cumulative execution for the carry-over job, we then have for low-criticality task  $\tau_i$ ,

$$\begin{aligned} \eta_i &\leq (a_i - 0)u_i^{LO} + (d_i - a_i)u_i^{LO} + (t_2 - d_i)u_i^{HI} \\ \Leftrightarrow \eta_i &\leq d_i u_i^{LO} + (t_2 - d_i)u_i^{HI} \end{aligned}$$

Proposition 3 shows as  $J_i$  has its cumulative execution equal to  $(d_i - a_i) \cdot u_i^{LO}$ , it has  $d_i \leq (a_1 + x(t_2 - a_1))$ . Given  $u_i^{LO} > u_i^{HI}$  for low-criticality task, we have

$$\begin{aligned} \eta_i &\leq d_i u_i^{LO} + (t_2 - d_i)u_i^{HI} \\ \Rightarrow \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (t_2 - (a_1 + x(t_2 - a_1)))u_i^{HI} \\ \Leftrightarrow \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \end{aligned}$$

**Case B** (task  $\tau_i$  does not release a job within interval  $(a_1, t_2]$ ): In this case, let  $J_i$  denote the last release job of task  $\tau_i$  before  $a_1$  and  $a_i$  and  $d_i$  are its absolute release time and absolute deadline, respectively. If  $d_i \leq t_1$ , we have

$$\eta_i = (a_i - 0)u_i^{LO} + (d_i - a_i) \cdot u_i^{LO} = d_i u_i^{LO}$$

If  $d_i > t_1$ ,  $J_i$  is a carry-over job. As we discussed above, the maximum cumulative execution time of carry-over job  $J_i$  is  $(d_i - a_i)u_i^{LO}$ , so we have

$$\eta_i \leq (a_i - 0)u_i^{LO} + (d_i - a_i) \cdot u_i^{LO} \Leftrightarrow \eta_i \leq d_i u_i^{LO}$$

Similarly, according to Proposition 3, we obtain,

$$\begin{aligned} \eta_i &\leq d_i \cdot u_i^{LO} \leq (a_1 + x(t_2 - a_1))u_i^{LO} \\ \Rightarrow \eta_i &< (a_1 + x(t_2 - a_1))u_i^{LO} + (t_2 - (a_1 + x(t_2 - a_1)))u_i^{HI} \\ \Leftrightarrow \eta_i &< (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \end{aligned}$$

Lemma 1 gives the upper bound of the cumulative execution time of a *low*-criticality task in *high*-criticality mode. In order to derive the sufficient test for the IMC model in *high*-criticality mode, we need to upper bound the cumulative execution time of *high*-criticality tasks. ■

**Proposition 4** (Fact 3 from [2]). *For any high-criticality task  $\tau_i$ , it holds that*

$$\eta_i \leq \frac{a_1}{x} u_i^{LO} + (t_2 - a_1) u_i^{HI} \quad (4)$$

Proposition 4 is used to bound the cumulative execution of the *high*-criticality tasks. Since in the IMC model the *high*-criticality tasks are scheduled as in the classical MC model, Proposition 4 holds for the IMC model as well. With Lemma 1 and Proposition 4, we can derive the sufficient test for the IMC model in *high*-criticality mode.

**Theorem 2.** *The following condition is sufficient for ensuring that EDF-VD successfully schedules all tasks in high-criticality mode:*

$$xU_{LO}^{LO} + (1-x)U_{LO}^{HI} + U_{HI}^{HI} \leq 1 \quad (5)$$

*Proof:* Let  $N$  denote the cumulative execution time of all tasks in  $\gamma = \gamma_{LO} \cup \gamma_{HI}$  over interval  $[0, t_2]$ . We have

$$N = \sum_{\forall \tau_i \in \gamma_{LO}} \eta_i + \sum_{\forall \tau_i \in \gamma_{HI}} \eta_i$$

By using Lemma 1 and Proposition 4,  $N$  is bounded as follows

$$\begin{aligned} N &\leq \sum_{\forall \tau_i \in \gamma_{LO}} \left( (a_1 + x(t_2 - a_1)) u_i^{LO} + (1-x)(t_2 - a_1) u_i^{HI} \right) \\ &\quad + \sum_{\forall \tau_i \in \gamma_{HI}} \left( \frac{a_1}{x} u_i^{LO} + (t_2 - a_1) u_i^{HI} \right) \\ &\Leftrightarrow N \leq (a_1 + x(t_2 - a_1)) U_{LO}^{LO} + (1-x)(t_2 - a_1) U_{LO}^{HI} \\ &\quad + \frac{a_1}{x} U_{HI}^{LO} + (t_2 - a_1) U_{HI}^{HI} \\ &\Leftrightarrow N \leq a_1 \left( U_{LO}^{LO} + \frac{U_{HI}^{LO}}{x} \right) + x(t_2 - a_1) U_{LO}^{LO} \\ &\quad + (1-x)(t_2 - a_1) U_{LO}^{HI} + (t_2 - a_1) U_{HI}^{HI} \end{aligned} \quad (6)$$

Since the tasks must be schedulable in *low*-criticality mode, the condition given in Theorem 1 holds and we have  $1 \geq (U_{LO}^{LO} + \frac{U_{HI}^{LO}}{x})$ . Hence,

$$\begin{aligned} N &\leq a_1 + x(t_2 - a_1) U_{LO}^{LO} \\ &\quad + (1-x)(t_2 - a_1) U_{LO}^{HI} + (t_2 - a_1) U_{HI}^{HI} \end{aligned} \quad (7)$$

Since time instant  $t_2$  is the first deadline miss, it means that there is no idle time instant within interval  $[0, t_2]$ . Note that if there is an idle instant, jobs from set  $\mathcal{J}$  which have release time at or after the latest idle instant can form a smaller job set causing deadline miss at  $t_2$  which contradicts the minimality

of  $\mathcal{J}$ . Then, we obtain

$$\begin{aligned} N &= \left( \sum_{\forall \tau_i \in \gamma_{LO}} \eta_i + \sum_{\forall \tau_i \in \gamma_{HI}} \eta_i \right) > t_2 \\ &\Rightarrow a_1 + x(t_2 - a_1) U_{LO}^{LO} + (1-x)(t_2 - a_1) U_{LO}^{HI} + (t_2 - a_1) U_{HI}^{HI} \\ &\quad > t_2 \\ &\Leftrightarrow x(t_2 - a_1) U_{LO}^{LO} + (1-x)(t_2 - a_1) U_{LO}^{HI} + (t_2 - a_1) U_{HI}^{HI} \\ &\quad > t_2 - a_1 \\ &\Leftrightarrow x U_{LO}^{LO} + (1-x) U_{LO}^{HI} + U_{HI}^{HI} > 1 \end{aligned}$$

By taking the contrapositive, we derive the sufficient test for the IMC model when it is in *high*-criticality mode:

$$x U_{LO}^{LO} + (1-x) U_{LO}^{HI} + U_{HI}^{HI} \leq 1 \quad \blacksquare$$

Note that if  $U_{LO}^{HI} = 0$ , i.e., no *low*-criticality tasks are scheduled after the system switches to *high*-criticality mode, our Theorem 2 is the same as the sufficient test (Theorem 2 in [2]) for the classical MC model in *high*-criticality mode. Hence, our Theorem 2 actually is a generalized schedulability condition for (1)MC tasks under EDF-VD.

By combining Theorem 1 (see Section IV-A) and our Theorem 2, we prove the following theorem,

**Theorem 3.** *Given an IMC task set, if*

$$U_{HI}^{HI} + U_{LO}^{LO} \leq 1 \quad (8)$$

*then the IMC task set is schedulable by EDF; otherwise, if*

$$\frac{U_{HI}^{LO}}{1 - U_{LO}^{LO}} \leq \frac{1 - (U_{HI}^{HI} + U_{LO}^{HI})}{U_{LO}^{LO} - U_{LO}^{HI}} \quad (9)$$

*where*

$$U_{HI}^{HI} + U_{LO}^{HI} < 1 \text{ and } U_{LO}^{LO} < 1 \text{ and } U_{LO}^{LO} > U_{LO}^{HI} \quad (10)$$

*then this IMC task set can be scheduled by EDF-VD with a deadline scaling factor  $x$  arbitrarily chosen in the following range*

$$x \in \left[ \frac{U_{HI}^{LO}}{1 - U_{LO}^{LO}}, \frac{1 - (U_{HI}^{HI} + U_{LO}^{HI})}{U_{LO}^{LO} - U_{LO}^{HI}} \right]$$

*Proof:* Total utilization  $U \leq 1$  is the exact test for EDF on a uniprocessor system. If the condition in (8) is met, the given task set is *worst-case reservation* [2] schedulable under EDF, i.e., the task set can be scheduled by EDF without deadline scaling for *high*-criticality tasks and execution budget reduction for *low*-criticality tasks. Now, we prove the second condition given by (9). From Theorem 1, we have,

$$x \geq \frac{U_{HI}^{LO}}{1 - U_{LO}^{LO}}$$

From Theorem 2, we have

$$\begin{aligned} x U_{LO}^{LO} + (1-x) U_{LO}^{HI} + U_{HI}^{HI} &\leq 1 \\ \Leftrightarrow x &\leq \frac{1 - (U_{HI}^{HI} + U_{LO}^{HI})}{U_{LO}^{LO} - U_{LO}^{HI}} \end{aligned}$$

Therefore, if  $\frac{U_{HI}^{LO}}{1 - U_{LO}^{LO}} \leq \frac{1 - (U_{HI}^{HI} + U_{LO}^{HI})}{U_{LO}^{LO} - U_{LO}^{HI}}$ , the schedulability conditions of both Theorem 1 and 2 are satisfied. Thus, the IMC tasks are schedulable under EDF-VD. ■

## V. SPEEDUP FACTOR

The speedup factor bound is a useful metric to compare the worst-case performance of different MC scheduling algorithms. The speedup factor bound for the classical MC model under EDF-VD [2] has been shown to be 4/3. The following is the definition of the speedup factor for an MC scheduling algorithm.

**Definition 2** (from [2]). *The speedup factor of an algorithm  $\mathcal{A}$  for scheduling MC systems is the smallest real number  $f \geq 1$  such that any task system that is schedulable by a hypothetical optimal clairvoyant scheduling algorithm<sup>2</sup> on a unit-speed processor is correctly scheduled by algorithm  $\mathcal{A}$  on a speed- $f$  processor.*

For notational simplicity, we define

$$\begin{aligned} U_{HI}^{HI} &= c, & U_{HI}^{LO} &= \alpha \times c \\ U_{LO}^{LO} &= b, & U_{LO}^{HI} &= \lambda \times b \end{aligned}$$

where  $\alpha \in (0, 1]$  and  $\lambda \in [0, 1]$ .  $\alpha$  denotes the utilization ratio between  $U_{HI}^{LO}$  and  $U_{HI}^{HI}$ , while  $\lambda$  denotes the utilization ratio between  $U_{LO}^{HI}$  and  $U_{LO}^{LO}$ .

First, let us analyze the speedup factor of two corner cases. When  $\alpha = 1$ , i.e.,  $U_{HI}^{LO} = U_{HI}^{HI}$ , this means that there is no mode-switch. Therefore, the task set is scheduled by the traditional EDF, i.e., the task set is schedulable if  $U_{LO}^{LO} + U_{HI}^{LO} \leq 1$ . Since EDF is the optimal scheduling algorithm on a uniprocessor system, the speedup factor is 1. When  $\lambda = 1$ , i.e.,  $U_{LO}^{LO} = U_{LO}^{HI}$ , if the task set is schedulable in high-criticality mode, it must hold  $U_{HI}^{HI} + U_{LO}^{LO} \leq 1$  by Theorem 2. Then it is scheduled by the traditional EDF and thus the speedup factor is 1 as well.

In this paper, instead of generating a single speedup factor bound, we derive a speedup factor function with respect to  $(\alpha, \lambda)$ . This speedup factor function enables us to quantify the suboptimality of EDF-VD for the IMC model in terms of speedup factor (by our proposed sufficient test) and evaluate the impact of the utilization ratio on the schedulability of an IMC task set under EDF-VD.

First, we strive to find a minimum speed  $s (\leq 1)$  for a clairvoyant optimal MC scheduling algorithm such that any implicit-deadline IMC task set which is schedulable by the clairvoyant optimal MC scheduling algorithm on a speed- $s$  processor can satisfy the schedulability test given in Theorem 3, i.e., schedulable under EDF-VD on a unit-speed processor.

**Lemma 2.** *Given  $b, c \in [0, 1]$ ,  $\alpha \in (0, 1)$ ,  $\lambda \in [0, 1)$ , and*

$$\max\{b + \alpha c, \lambda b + c\} \leq S(\alpha, \lambda) \quad (11)$$

where

$$S(\alpha, \lambda) = \frac{(1 - \alpha\lambda)((2 - \alpha\lambda - \alpha) + (\lambda - 1)\sqrt{4\alpha - 3\alpha^2})}{2(1 - \alpha)(\alpha\lambda - \alpha\lambda^2 - \alpha + 1)}$$

then it guarantees

$$\frac{\alpha c}{1 - b} \leq \frac{1 - (c + \lambda b)}{b - \lambda b} \quad (12)$$

<sup>2</sup>A ‘clairvoyant’ scheduling algorithm knows all run-time information, e.g., when the mode switch will occur, prior to run-time.

*Proof:* Suppose that  $\lambda$  and  $\alpha$  are constants and we have a real number  $s \leq 1$ , where  $\max\{b + \alpha c, \lambda b + c\} \leq s$ . We need to find the minimum of  $s$  which guarantees that any  $b, c \in [0, 1]$  ensure (12). First,  $\max\{b + \alpha c, \lambda b + c\} \leq s$  implies

$$b + \alpha c \leq s \quad (13)$$

$$\lambda b + c \leq s \quad (14)$$

Then, condition (12) can be written as follows,

$$\lambda b^2 + (\alpha\lambda - \alpha + 1)bc - (\lambda + 1)b - c + 1 \geq 0 \quad (15)$$

Inequalities (13)(14)(15) define a feasible space in three-dimension space, respectively. In Figure 2(a), the space above the plane is a feasible space satisfying (13), where the plane corresponds to  $b + \alpha c = s$ . For (14),  $\lambda b + c = s$  draws a plane and the feasible space is above the plane shown in Figure 2(b). Similarly, when (15) makes its right-hand-side equal to the left-hand-side, we draw a vertical curved surface seen in Figure 2(c) and the space inside the vertical surface is the feasible space (the opposite of the arrow direction). We need to find the *minimum* of  $s$  in the feasible space (above the two planes and inside the vertical surface) such that any  $b$  and  $c$  that meet (11) satisfy (12). Since  $\max\{b + \alpha c, \lambda b + c\} = s$  is strictly increasing, to ensure (11)(12) hold for any  $b$  and  $c$ , we somehow *maximize*  $s$  in the feasible space. This problem can be transformed into another form, where, instead of maximizing  $s$  inside of the vertical surface, we can find the *minimum* value of  $s$  in the space outside the vertical surface<sup>3</sup> which is defined by

$$\lambda b^2 + (\alpha\lambda - \alpha + 1)bc - (\lambda + 1)b - c + 1 \leq 0 \quad (16)$$

Then, the minimization problem is formulated as follows,

$$\text{minimize } s \quad (17)$$

$$\text{subject to } b + \alpha c \leq s \quad (18)$$

$$\lambda b + c \leq s \quad (19)$$

$$\lambda b^2 + (\alpha\lambda - \alpha + 1)bc - (\lambda + 1)b - c + 1 \leq 0 \quad (20)$$

$$0 \leq b \leq 1, \quad 0 \leq c \leq 1 \quad (21)$$

where  $\alpha$  and  $\lambda$  are constant and  $s, b, c$  are variables. If  $S(\alpha, \lambda)$  is the optimal solution of the optimization problem (17), then Lemma 2 is proved.

Below, we prove that  $S(\alpha, \lambda)$  is the optimal solution of the optimization problem (17)<sup>4</sup>.

As stated before, the feasible solutions subject to these three constraints must be above both planes and outside the vertical curved surface. First assume that we have a point  $(b'_0, c'_0, s'_0)$  which satisfies all constraints but is not on the vertical surface. If we connect the origin  $(0, 0, 0)$  and  $(b'_0, c'_0, s'_0)$ , this line must have an intersection point  $(b_0^*, c_0^*, s_0^*)$  with the vertical surface. It is easy to observe that  $s_0^* < s'_0$  - see in Figure 2(d). This means that any point which is not on the vertical surface can find a point with smaller value of  $s$  on the vertical surface

<sup>3</sup>As the arrows direct

<sup>4</sup>This optimization problem is a non-convex problem and thus we cannot use general convex optimization techniques such as the Karush-Kuhn-Tucker (KKT) approach [18] to solve it.

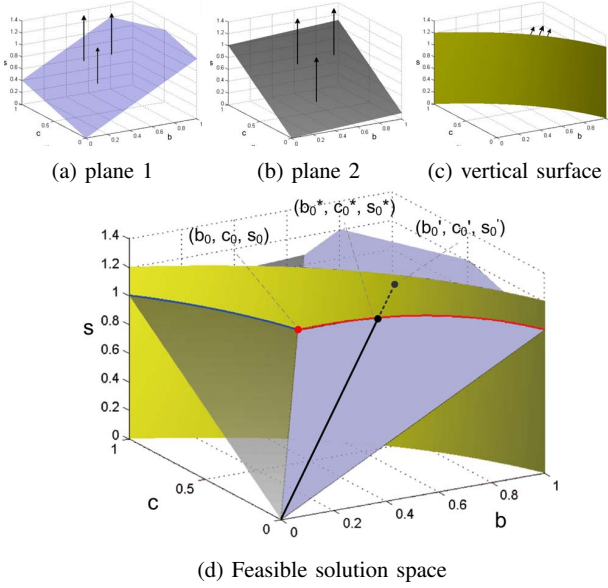


Figure 2: 3D space of optimization problem (17)

which satisfies all constraints. Therefore, the point with the minimum  $s$  must be on the vertical surface. Similarly, the minimum  $s$  must be on one of the two planes. Otherwise, if it is not on any plane, we always can find a projected point on one plane which has a smaller value of  $s$ .

We have shown above that to obtain the minimum value of  $s$  the point must be on the vertical surface and one plane. Then, the two planes have an intersection line and this line intersects with the vertical surface at a point denoted by  $(b_0, c_0, s_0)$ . By taking constraints (18)(19) and (20), we formulate a piece-wise function of  $s$  with respect to  $b$  as follows.

$$s(b) = \begin{cases} \frac{(\alpha\lambda^2 - \alpha\lambda)b^2 + b - 1}{(\alpha\lambda - \alpha + 1)b - 1} & 0 < b \leq b_0 \\ \frac{(1-\alpha)b^2 + (\alpha\lambda + \alpha - 1)b - \alpha}{(\alpha\lambda - \alpha + 1)b - 1} & b_0 < b \leq 1 \end{cases} \quad (22)$$

This function covers all points which are on the vertical surface and one plane and at same time satisfy all constraints. By doing some calculus, we know that Eq. (22) is monotonically decreasing in  $(0, b_0]$  and monotonically increasing in  $(b_0, 1]$ . Therefore, the minimum value of Eq. (22) can be obtained at  $(b_0, c_0, s_0)$ . The complete proof is given by Lemma 4 in Appendix I. It means that we can obtain the optimal solution of problem (17) by solving the following equation system.

$$\begin{cases} b_0 + xc_0 = s_0 \\ \lambda b_0 + c_0 = s_0 \\ \lambda b_0^2 + (\alpha\lambda - \alpha + 1)b_0c_0 - (\lambda + 1)b_0 - c_0 + 1 = 0 \end{cases} \quad (23)$$

By joining the first two equations we have  $c_0 = \frac{1-\lambda}{1-\alpha} \times b_0$ , and applying it to the last equation in (23) gives

$$(-\alpha\lambda^2 + \alpha\lambda - \alpha + 1)b_0^2 + (\alpha\lambda + \alpha - 2)b_0 + (1 - \alpha) = 0$$

By the well-known Quadratic Formula we get the two roots of the above quadratic equation.

$$b_0^1 = \frac{(2 - \alpha\lambda - \alpha) + (1 - \lambda)\sqrt{-3\alpha^2 + 4\alpha}}{2(-\alpha\lambda^2 + \alpha\lambda - \alpha + 1)} \quad (24)$$

$$b_0^2 = \frac{(2 - \alpha\lambda - \alpha) - (1 - \lambda)\sqrt{-3\alpha^2 + 4\alpha}}{2(-\alpha\lambda^2 + \alpha\lambda - \alpha + 1)} \quad (25)$$

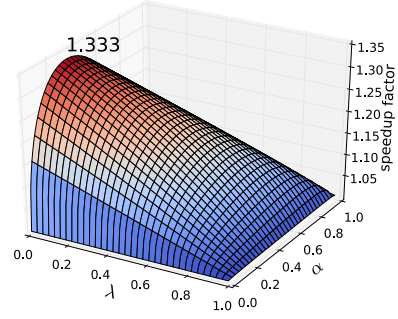


Figure 3: 3D image of speedup factor w.r.t  $\alpha$  and  $\lambda$

$\lambda \backslash \alpha$	0.1	0.3	1/3	0.5	0.7	0.9	1
0	1.254	1.332	<b>1.333</b>	1.309	1.227	1.091	1
0.1	1.231	1.308	1.310	1.293	1.219	1.090	1
0.3	1.183	1.256	1.259	1.254	1.201	1.087	1
0.5	1.134	1.195	1.200	1.206	1.174	1.083	1
0.7	1.082	1.126	1.130	1.143	1.133	1.074	1
0.9	1.028	1.046	1.048	1.056	1.061	1.048	1
1	1	1	1	1	1	1	1

Table II: Speedup factor w.r.t  $\alpha$  and  $\lambda$

We can prove that  $b_0^2$  is larger than 1 and thus should be dropped (since we require  $0 \leq b \leq 1$ ), while  $b_0^1$  is in the range of  $[0, 1]$ . The detailed proof is given by Lemma 5 in Appendix I. As a result, we obtain the optimal solution  $(b_0^1, \frac{1-\alpha}{1-\lambda}b_0^1, \frac{1-\alpha\lambda}{1-\lambda}b_0^1)$  for Eq. (23). Thus, we have

$$\begin{aligned} S(\alpha, \lambda) &= \frac{1 - \alpha\lambda}{1 - \lambda} b_0^1 \\ &= \frac{(1 - \alpha\lambda)((2 - \alpha\lambda - \alpha) + (\lambda - 1)\sqrt{4\alpha - 3\alpha^2})}{2(1 - \alpha)(\alpha\lambda - \alpha\lambda^2 - \alpha + 1)} \end{aligned}$$

Therefore, Lemma 2 is proved.  $\blacksquare$

Lemma 2 shows that any IMC task set that is schedulable by an optimal clairvoyant MC scheduling algorithm on a speed- $S(\alpha, \lambda)$  is schedulable by EDF-VD on a unit-speed processor. Therefore, we can compute the speedup factor of EDF-VD by  $1/S(\alpha, \lambda)$ .

**Theorem 4.** *The speedup factor of EDF-VD with IMC task sets is*

$$f = \frac{2(1 - \alpha)(\alpha\lambda - \alpha\lambda^2 - \alpha + 1)}{(1 - \alpha\lambda)((2 - \alpha\lambda - \alpha) + (\lambda - 1)\sqrt{4\alpha - 3\alpha^2})}$$

The speedup factor is shown to be a function with respect to  $\alpha$  and  $\lambda$ . Figure 3 plots the 3D image of this function and Table II lists some of the values with different  $\alpha$  and  $\lambda$ . By doing some calculus, we obtain the maximum value 1.333 ( $4/3$ ) of the speedup factor function when  $\lambda = 0$  and  $\alpha = \frac{1}{3}$ , which is highlighted in Figure 3 and Table II. We see that the speedup factor bound is achieved when the task set is a classical MC task set. From Figure 3 and Table II, we observe different trends for the speedup factor with respect to  $\alpha$  and  $\lambda$ .

- First, given a fixed  $\lambda$ , the speedup factor is not a monotonic function with respect to  $\alpha$ . The relation between  $\alpha$  and the speedup factor draws a downward parabola.



Therefore, a straightforward conclusion regarding the impact of  $\alpha$  on the speedup factor cannot be drawn.

- Given a fixed  $\alpha$ , the speedup factor is a monotonic decreasing function with respect to increasing  $\lambda$ . It is seen that increasing  $\lambda$  leads to a smaller value of the speedup factor. *This means that a larger  $\lambda$  brings a positive effect on the schedulability of an IMC task set.*

## VI. EXTENSION TO ELASTIC MIXED-CRITICALITY MODEL

Su and Zhu in [6] introduced an *Elastic Mixed-Criticality* (EMC) task model, where the *elastic model* [19] is used to model low criticality tasks. When the MC system switches to high criticality mode, low-criticality tasks scale up their original period to a larger period such that low-criticality tasks continue to be scheduled with a degraded service (less frequently). Although the EMC model has been studied by [6] [13] [14], there is not a utilization-based sufficient test for the EMC model. Therefore, in this section, we prove that the theories proposed in Section IV apply to the EMC model [6] as well. Here, we use  $T_i^{max} (\geq T_i)$  to denote the extended period of a low-criticality task  $\tau_i$ . Since, in the EMC model, the WCETs of a low-criticality tasks are the same in two modes, the utilization of low-criticality task  $\tau_i$  in high-criticality mode is computed as  $u_i^{HI} = C_i^{LO} / T_i^{max}$ .

**Proposition 5** (Lemma 1 from [6]). *A set of EMC tasks is EMC schedulable under EDF-VD if  $U_{HI}^{HI} + U_{LO}^{HI} \leq 1$ .*

Here, in order to keep the consistence, we use  $U_{LO}^{HI}$  to denote  $U(L, min)$  in [6]. Proposition 5 is provided in [6] to check the schedulability of an EMC task set on a uniprocessor. However, Proposition 5 is a necessary test. This means that even if a given task set satisfies the condition presented in Proposition 5, it is still possible that the task set is unschedulable under EDF-VD. Below, we prove that the theories proposed in Section IV can apply to the EMC model.

First, in low-criticality mode, since the EMC model just behaves like the classical MC model, Theorem 1 holds for the EMC model. Then we discuss the schedulability of the EMC model in high-criticality mode. We have the following definition for the carry-over job of a low criticality task in the EMC model:

**Definition 3.** *In the EMC model, carry-over job  $J_i$  of low criticality task  $\tau_i$  has its release time  $a_i < t_1$  and **original** deadline  $d_i > t_1$ .*

Then, we prove the following proposition for a carry-over job.

**Proposition 6.** *For an EMC carry-over job  $J_i$ , if it completes its execution before switch time instant  $t_1$ , then its **original** deadline  $d_i$  is  $\leq (a_1 + x(t_2 - a_1))$ .*

*Proof:* Consider that carry-over job  $J_i$  completes its execution before switch time instant  $t_1$ . Suppose that  $J_i$  has its **original** deadline  $d_i > (a_1 + x(t_2 - a_1))$ . Let  $t^*$  denote the latest time instant at which  $J_i$  starts to execute before  $t_1$ . At

time instant  $t^*$ , all jobs in  $\mathcal{J}$  with deadlines  $\leq (a_1 + x(t_2 - a_1))$  then have finished their execution. Therefore, these jobs do not have any execution within interval  $[t^*, t_2]$ . This implies that jobs in  $\mathcal{J}$  which are released at or after  $t^*$  can form a smaller job set and this smaller job set is sufficient to cause deadline miss at  $t_2$ . This contradicts the minimality of  $\mathcal{J}$ . Therefore, in this case we have  $d_i \leq (a_1 + x(t_2 - a_1))$  ■

**Lemma 3.** *Lemma 1 still holds for low-criticality tasks of the EMC model in high-criticality mode.*

*Proof:* We can prove this lemma by doing some modifications on the proof of Lemma 1. Here, we mainly focus on the modified part. The proof uses the same notations explained in Section IV.

For the EMC model, we need to consider a special case when carry-over job  $J_i$  of low-criticality task  $\tau_i$  has its extended deadline  $d_i^{max} > t_2$ . Since  $t_2$  is a deadline miss, a job with deadline  $> t_2$  will not be scheduled within  $[t_1, t_2]$  -see Proposition 1. If  $d_i^{max} > t_2$ , job  $J_i$  will not be executed after the switch time instant  $t_1$  and the maximum cumulative execution time of  $\tau_i$  can be obtained as job  $J_i$  completes its  $C_i^{LO}$  before  $t_1$ . Hence, the cumulative execution of task  $\tau_i$  can be bounded by,

$$\eta_i \leq a_i \cdot u_i^{LO} + (d_i - a_i)u_i^{LO} = d_i \cdot u_i^{LO} \quad (26)$$

By Proposition 6, we replace  $d_i$  with  $(a_1 + x(t_2 - a_1))$  in Eq. (33)

$$\begin{aligned} \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (t_2 - (a_1 + x(t_2 - a_1)))u_i^{HI} \\ \Leftrightarrow \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \end{aligned} \quad (27)$$

The rest of the proof can follow the proof of Lemma 1. A complete proof can be found in Appendix II. ■

Lemma 3 shows that Lemma 1 can still bound the cumulative execution time of low-criticality tasks of the EMC model in high-criticality mode. Moreover, since there is no difference how the high-criticality tasks are scheduled in the EMC model or in the classical MC model, Proposition 4 still holds for the high-criticality tasks in the EMC model. As a result, Theorem 2 holds for the EMC model as well. Then, we can directly obtain the following theorem,

**Theorem 5.** *Theorem 3 is a sufficient test for the EMC model under EDF-VD.*

Since Theorem 3 is a sufficient test for the EMC model under EDF-VD, the speedup factor results we obtained in Section V also apply to the EMC model, i.e., the speedup factor bound of the EMC model under EDF-VD is also 4/3 by using our proposed sufficient test.

## VII. EXPERIMENTAL EVALUATION

In this section, we conduct experiments to evaluate the effectiveness of the proposed sufficient test for the IMC model in terms of schedulable task sets (acceptance ratio). Moreover, we conduct experiments to verify the observations obtained in



Section V regarding the impact of  $\alpha$  and  $\lambda$  on the average acceptance ratio. Our experiments are based on randomly generated MC tasks. We use a task generation approach, similar to that used in [5] [4], to randomly generate IMC task sets to evaluate the proposed sufficient test. Each task  $\tau_i$  is generated based on the following procedure,

- pCriticality is the probability that the generated task is a *high*-criticality task; pCriticality  $\in [0, 1]$ .
- Period  $T_i$  is randomly selected from range  $[100, 1000]$ .
- In order to have sufficient number of tasks in a task set, utilization  $u_i$  is randomly drawn from the range  $[0.05, 0.2]$ .
- For any task  $\tau_i$ ,  $C_i^{LO} = u_i * T_i$ .
- $R \geq 1$  denotes the ratio  $C_i^{HI}/C_i^{LO}$  for every *high*-criticality task. If  $L_i = HI$ , we set  $C_i^{HI} = R * C_i^{LO}$ . It is easy to see that  $\alpha$  used in the speedup factor function is equal to  $\frac{1}{R}$ ;
- $\lambda \in (0, 1]$  denotes the ratio  $C_i^{HI}/C_i^{LO}$  for every *low*-criticality task. If  $L_i = LO$ , we set  $C_i^{HI} = \lambda * C_i^{LO}$ .

In the experiment, we generate IMC task sets with different target utilization  $U$ . Each task set is generated as follows. Given a target utilization  $U$ , we first initialize an empty task set. Then, we generate task  $\tau_i$  according to the task generation procedure introduced above and add the generated task to the task set. The task set generation stops as we have

$$U - 0.05 \leq U_{avg} \leq U + 0.05$$

where

$$U_{avg} = \frac{U^{LO} + U^{HI}}{2}$$

is the average total utilization of the generated task set. If adding a new task makes  $U_{avg} > U + 0.05$ , then the added task will be removed and a new task will be generated and added to the task set till the condition is met.

#### A. Comparison with AMC [7]

To date, the modified AMC given in [7] is the only related work considering the schedulability of the IMC model under fixed-priority scheduling. Therefore, in the first experiment, we compare EDF-VD by using our proposed test to the AMC approach in [7] in terms of average acceptance ratio. In this experiment,  $R$  is randomly selected from a uniform distribution  $[1.5, 2.5]$ . With different  $\lambda$  and pCriticality settings, we vary  $U_{avg}$  from 0.4 to 0.95 with step of 0.05, to evaluate the effectiveness of the proposed sufficient test in terms of the average acceptance ratios. We generate 10,000 task sets for each given  $U_{avg}$ . Due to space limitations, we only present the experimental results when pCriticality = 0.5. Results with different pCriticality settings can be found in Appendix III. The results are shown in Figure 4, where the x-axis denotes the varying  $U_{avg}$  and the y-axis denotes the acceptance ratio. In the figures, let EDF-VD and AMC denote our proposed schedulability test and the one proposed in [7], respectively. In most cases, EDF-VD outperforms AMC in terms of acceptance ratio. We observe the following trends:

- 1) When  $U_{avg} \in [0.5, 0.8]$ , EDF-VD always outperforms AMC in terms of acceptance ratio. However, if  $U_{avg} > 0.8$  and  $\lambda = 0.3$  or  $0.5$ , AMC performs better than EDF-VD. The same trend is also found for the classical MC model under EDF-VD and AMC, see in [4].
- 2) By comparing sub-figures in Figure 4, we see that the average acceptance ratio improves when  $\lambda$  increases. This confirms the observation for the speedup factor we obtained in Section V. The increasing  $\lambda$  leads to a smaller speedup factor. As a result, it provides a better schedulability. We need to notice that when  $\lambda$  increases, not only EDF-VD improves its acceptance ratio but the acceptance ratio of AMC [7] also improves.

#### B. Impact of $\alpha$ and $\lambda$

Above, we compare our proposed sufficient test to the existing AMC approach. In this section, we conduct experiments to further evaluate the impact of  $\lambda$  and  $\alpha$  ( $1/R$ ) on the acceptance ratio. In this experiment, we select  $U_{avg} = \{0.65, 0.7, 0.75, 0.8, 0.85\}$  to conduct experiments. We fix  $U_{avg}$  to a certain utilization and vary  $\lambda$  and  $\alpha$  to evaluate the impact.

We first show the results for  $\lambda$ . The results are depicted in Figure 5, where the x-axis denotes the value of  $\lambda$  from 0.2 to 0.9 with step of 0.1 and the y-axis denotes the average acceptance ratio.  $R$  is randomly selected from a uniform distribution  $[1.5, 2.5]$  and pCriticality = 0.5. Similarly, 10,000 task sets are generated for each point in the figures. A clear trend can be observed that the acceptance ratio increases as  $\lambda$  increases. This trend confirms the positive impact of increasing  $\lambda$  on the schedulability which we have observed in Section V.

Next we conduct experiments to evaluate the impact of  $\alpha$  on the schedulability. Similarly, we fix  $U_{avg}$  and vary  $\alpha$  to carry out the experiments. Due to  $\alpha = \frac{1}{R}$ , if  $\alpha$  is given, we compute the corresponding  $R$  to generate task sets. The results are depicted in Figure 6, where  $\lambda = 0.5$ . The x-axis denotes the varying  $\alpha$  from 0.1 to 0.9 with step of 0.1, while the y-axis denotes the average acceptance ratio. First, from Table II, we see that with increasing  $\alpha$  the speedup factor first increases till a point. This means within this range the scheduling performance of EDF-VD gradually decreases. After that point, the speedup factor decreases which means the scheduling performance of EDF-VD gradually improves. The experimental results confirm what we have observed for  $\alpha$  in Section V. The acceptance ratio gradually decreases till a point and then it increases.

## VIII. CONCLUSIONS

In this paper, the *imprecise mixed-criticality* (IMC) model from [7] is investigated. A sufficient test for the IMC model under EDF-VD is proposed and the proposed sufficient test later applies to the EMC model as well. Based on the proposed sufficient test, we derive a speedup factor function with respect to the utilization ratio  $\alpha$  of all *high*-criticality tasks and the utilization ratio  $\lambda$  of all *low*-criticality tasks. This speedup factor function provides a good insight to observe the impact of  $\alpha$  and  $\lambda$  on the speedup factor and enables us to quantify

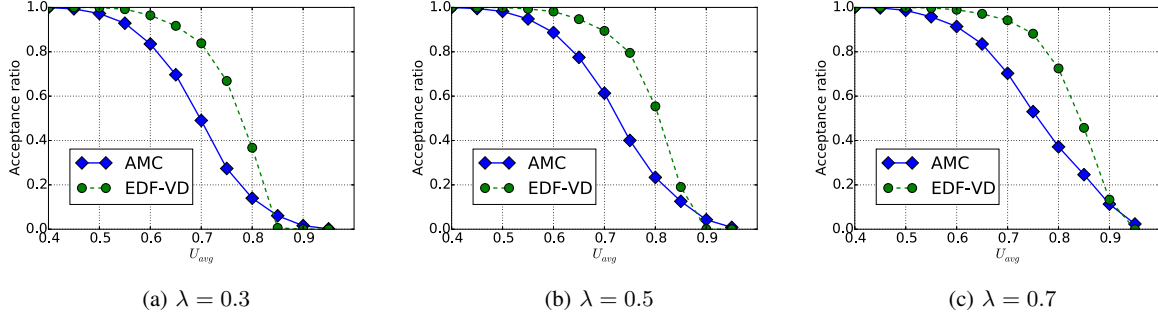


Figure 4: Varying  $U_{avg}$  with different  $\lambda$  and  $\text{pcriticality}=0.5$

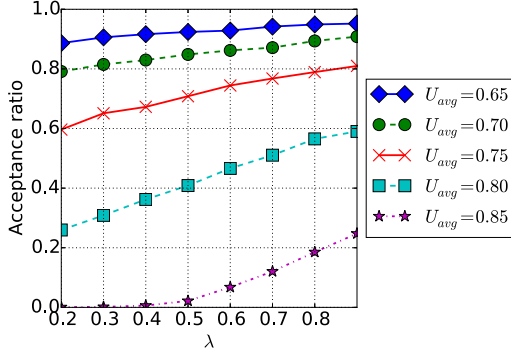


Figure 5: Impact of  $\lambda$

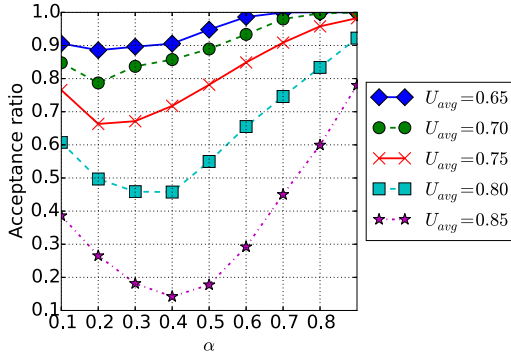


Figure 6: Impact of  $\alpha$

the suboptimality of EDF-VD for the IMC/EMC model in terms of speedup factor. Our experimental results show that our proposed sufficient test outperforms the AMC approach in terms of acceptance ratio. Moreover, the extensive experiments also confirm the observations we obtained for the speedup factor.

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APPENDIX I

**Lemma 4.** *The minimum value of piece-wise function (22) given in Section V is obtained when  $b = b_0$ .*

$$s(b) = \begin{cases} \frac{(\alpha\lambda^2 - \alpha\lambda)b^2 + b - 1}{(\alpha\lambda - \alpha + 1)b - 1} & 0 < b \leq b_0 \\ \frac{(1 - \alpha)b^2 + (\alpha\lambda + \alpha - 1)b - \alpha}{(\alpha\lambda - \alpha + 1)b - 1} & b_0 < b \leq 1 \end{cases} \quad (28)$$

*Proof:* For case of  $0 < b \leq b_0$ , its derivative is

$$s'(b) = \frac{\alpha(\lambda - 1)(\lambda(\alpha\lambda - \alpha + 1)b^2 - 2\lambda b + 1)}{((\alpha\lambda - \alpha + 1)b - 1)^2}$$

The denominator is obviously positive. For the numerator, since the discriminant of  $\lambda(\alpha\lambda - \alpha + 1)b^2 - 2\lambda b + 1 = 0$  is  $(2\lambda)^2 - 4\lambda(\alpha\lambda - \alpha + 1)$ , which is negative since  $0 < \lambda < 1$ , so we know  $\lambda(\alpha\lambda - \alpha + 1)b^2 - 2\lambda b + 1 > 0$ . Moreover, we have  $\lambda - 1 < 0$ , so putting them together we know the numerator is negative. In summary,  $s'(b)$  is negative and thus  $s(b)$  is monotonically decreasing with respect to  $b$  in the range  $b \in (0, b_0]$ .

For case of  $b_0 < b \leq 1$ , we can compute the derivative of  $s(b)$  by

$$s'(b) = \frac{(1 - \lambda)((\lambda y - x + 1)b^2 - 2b - (\lambda y - x - 1))}{((\lambda y - x + 1)b - 1)^2}$$

The denominator is obviously positive. For the numerator, we focus on  $(x\lambda - x + 1)b^2 - 2b - (x\lambda - x - 1)$  part. The following equation

$$(x\lambda - x + 1)b^2 - 2b - (x\lambda - x - 1) = 0$$

has two roots  $b_1 = 1$  and  $b_2 = \frac{1 + (x - x\lambda)}{1 - (x - x\lambda)}$ , which is greater than 1, so we know  $(x\lambda - x + 1)b^2 - 2b - (x\lambda - x - 1)$  is either always positive or always negative in the range of  $b \in (b_0, 1)$ . Since we can construct  $(x\lambda - x + 1)b^2 - 2b - (x\lambda - x - 1) > 0$  with  $x = \lambda = b = 0.5$ , so we know  $(x\lambda - x + 1)b^2 - 2b - (x\lambda - x - 1)$  is always positive. Moreover, since  $1 - x > 0$ , the numerator of  $s'(b)$  is positive, so overall  $s'(b)$  is positive, and thus  $s(b)$  is monotonically increasing with respect to  $b$  in the range of  $b \in (b_0, 1]$ .

In summary, we have proved  $s(b)$  is monotonically decreasing in  $(0, b_0]$ , and monotonically increasing in  $(b_0, 1]$ , both with respect to  $b$ , so the smallest value of  $s(b)$  must occur at  $b_0$ . ■

**Lemma 5.** *If  $0 < \alpha < 1$  and  $0 \leq \lambda < 1$ , then*

$$b_0^1 = \frac{(2 - \alpha\lambda - \alpha) + (1 - \lambda)\sqrt{-3\alpha^2 + 4\alpha}}{2(-\alpha\lambda^2 + \alpha\lambda - \alpha + 1)} > 1 \quad (29)$$

$$b_0^2 = \frac{(2 - \alpha\lambda - \alpha) - (1 - \lambda)\sqrt{-3\alpha^2 + 4\alpha}}{2(-\alpha\lambda^2 + \alpha\lambda - \alpha + 1)} \in [0, 1] \quad (30)$$

*Proof:* We start with proving  $b_0^1 > 1$ . We first prove  $b_0^1 \geq 0$  by showing both the numerator and denominator are positive. For simplicity, we use  $N_1$  and  $M_1$  to denote the numerator and denominator of  $b_0^1$  in (29), and  $N_2$  and  $M_2$  the numerator and denominator of  $b_0^2$  in (30). Note that the following reasoning relies on that  $\alpha \in (0, 1)$ ,  $\lambda \in [0, 1)$ .

1)  $N_1 > 0$ . First, we have

$$\begin{aligned} N_1 \times N_2 &= (2 - \alpha\lambda - \alpha)^2 - (1 - \lambda)^2(-3\alpha^2 + 4\alpha) \\ &= 4\alpha\lambda(1 - \lambda)(1 - \alpha) + 4(1 - \alpha)^2 \\ &> 0 \end{aligned}$$

Moreover, it is easy to see  $N_2 > 0$ . Therefore, we can conclude that  $N_1$  is also positive.

2)  $M_1 > 0$ .  $2(-\alpha\lambda^2 + \alpha\lambda - \alpha + 1) = 2(\alpha\lambda(1 - \lambda) + (1 - \alpha))$ , which is positive.

In summary, both the numerator and the denominator of  $b_0^1$  in (29) are positive, so  $b_0^1 \geq 0$ . Next we prove  $b_0^1 \leq 1$  by showing  $N_1 - M_1 \leq 0$ :

$$\begin{aligned} N_1 - M_1 &= (\lambda - 1)(\sqrt{-3\alpha^2 + 4\alpha} + \alpha(2\lambda - 1)) \end{aligned}$$

which is negative if  $\lambda \geq 0.5$  (since  $\lambda - 1 < 0$  and  $\sqrt{-3\alpha^2 + 4\alpha} + \alpha(2\lambda - 1) \geq 0$ ). So in the following we focus on the case of  $\lambda < 0.5$ . Since  $\lambda < 0.5$ , we know  $\alpha(2\lambda - 1)$  is negative, so we define two positive number  $A$  and  $B$  as follows

$$A = \sqrt{-3\alpha^2 + 4\alpha} \quad (31)$$

$$B = \alpha(1 - 2\lambda) \quad (32)$$

so  $N_1 - M_1 = (\lambda - 1)(A - B)$ . Since  $\lambda - 1 < 0$ , we only need to prove  $A - B > 0$ , which is equivalent to proving  $A^2 - B^2 > 0$  (as both  $A$  and  $B$  are positive):  $A^2 - B^2 > 0$ , which is done as follows:

$$\begin{aligned} A^2 - B^2 &= -3\alpha^2 + 4\alpha - \alpha^2(2\lambda - 1)^2 \\ &= 4\alpha(1 - \alpha) + 4\alpha^2\lambda(1 - \lambda) \\ &> 0 \end{aligned}$$

so we have  $A - B > 0$  and thus  $N_1 - M_1 = (\lambda - 1)(A - B) < 0$ . In summary, we have proved  $N_1 - M_1 < 0$  for the cases of both  $\lambda \geq 0.5$  and  $\lambda < 0.5$ , so we know  $b_0^1 \in [0, 1]$ .

Next we prove  $b_0^2 > 1$ , by showing  $N_2 - M_2 > 0$

$$\begin{aligned} N_2 - M_2 &= (1 - \lambda)(\sqrt{-3\alpha^2 + 4\alpha} - \alpha(2\lambda - 1)) \end{aligned}$$

If  $\lambda \leq 0.5$ , then  $\sqrt{-3\alpha^2 + 4\alpha} - \alpha(2\lambda - 1) > 0$ , and since  $1 - \lambda > 0$  we have  $N_2 - M_2 > 0$ . If  $\lambda > 0.5$ , we let  $C = \alpha(2\lambda - 1) > 0$  and also use  $A$  as defined above,  $N_2 - M_2 = (1 - \lambda)(A - C)$ . To prove  $A - C > 0$ , it suffices to prove  $A^2 - C^2 > 0$ , as shown in the following:

$$\begin{aligned} A^2 - C^2 &= -3\alpha^2 + 4\alpha - \alpha^2(2\lambda - 1)^2 \\ &= 4\alpha - (3 + (2\lambda - 1)^2)\alpha^2 \\ &> 4\alpha - 4\alpha^2 \quad (\lambda < 1, \text{ so } 2\lambda - 1 < 1) \\ &> 0 \end{aligned}$$

By now we have proved  $N_2 - M_2$  for both cases of  $\lambda \leq 0.5$  and  $\lambda > 0.5$ , so we know  $b_0^2 > 1$ . ■

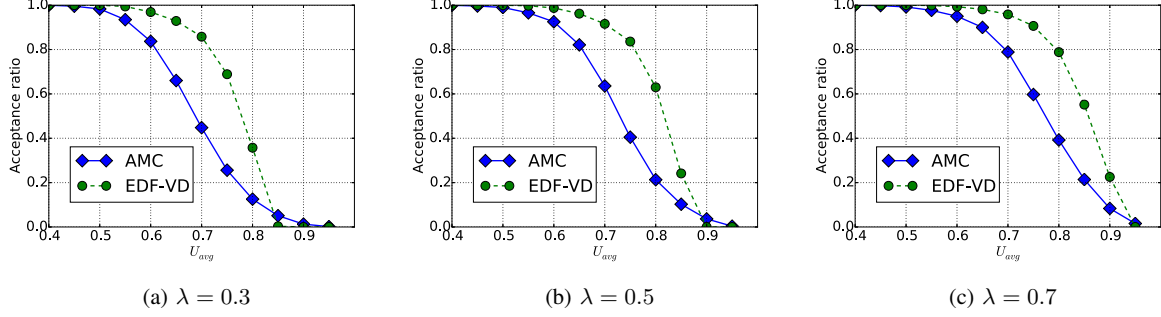


Figure 7: Varying  $U_B$  with different  $\lambda$  and pcriticality=0.3

## APPENDIX II

The following is the complete proof of Lemma 3.

*Proof:* We use the same notations explained in Section IV. When  $u_i^{LO} = u_i^{HI}$ , it is trivial to see that Lemma 1 holds for the EMC model. Now we focus on the case when  $u_i^{LO} > u_i^{HI}$ . To prove this case, we need to consider two cases where *low*-criticality task  $\tau_i$  releases a job within interval  $(a_1, t_2]$  or it does not.

- **Case 1** ( $\tau_i$  releases a job within interval  $(a_1, t_2]$ ): If there is no *carry-over* job, the proof is the same as we have proved for the IMC model (see the proof of Sub-case 1 in Lemma 1). Here, we focus on the case that there is a *carry-over* job. Let  $J_i$  denote the *carry-over* job with absolute release time  $a_i$ , original deadline  $d_i$ , and maximum deadline  $d_i^{max}$ . Here, we consider two cases,  $d_i^{max} > t_2$  and  $d_i^{max} \leq t_2$ .
  - $d_i^{max} > t_2$ : since  $t_2$  is a deadline miss, a job with deadline  $> t_2$  will not be scheduled within  $[t_1, t_2]$  -see Proposition 1. If  $d_i^{max} > t_2$ , job  $J_i$  will not be executed after the switch time instant  $t_1$  and the maximum cumulative execution time of  $\tau_i$  can be obtained as job  $J_i$  completes its  $C_i^{LO}$  before  $t_1$ . Hence, the cumulative execution of task  $\tau_i$  can be bounded by,

$$\eta_i \leq a_i \cdot u_i^{LO} + (d_i - a_i)u_i^{LO} = d_i \cdot u_i^{LO} \quad (33)$$

By Proposition 6, we replace  $d_i$  with  $(a_1 + x(t_2 - a_1))$  in Eq. (33)

$$\begin{aligned} \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (t_2 - (a_1 + x(t_2 - a_1)))u_i^{HI} \\ \Leftrightarrow \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \end{aligned} \quad (34)$$

- $d_i \leq t_2$ : in this case, the cumulative execution of *low*-criticality task  $\tau_i$  can be bounded as follows:

$$\eta_i \leq a_i u_i^{LO} + (t_2 - a_i)u_i^{HI}$$

(Since  $a_i < t_1$

and  $t_1 < (a_1 + x(t_2 - a_1))$  from Proposition 2)

$$\begin{aligned} \Rightarrow \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (t_2 - (a_1 + x(t_2 - a_1)))u_i^{HI} \\ \Leftrightarrow \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \end{aligned} \quad (35)$$

- **Case 2** ( $\tau_i$  does not release a job within interval  $(a_1, t_2]$ ): For *low*-criticality task  $\tau_i$ , let  $J_i$  denote the last release job before  $a_1$ , where  $a_i (< a_1)$  and  $d_i$  are the absolute release time and deadline of  $J_i$ , respectively. Moreover, let  $d_i^{max} (> d_i)$  denote the new absolute deadline of job  $J_i$  as the system switches to *high*-criticality mode. Here, there are two cases,  $d_i \leq t_1$  and  $d_i > t_1$ . For  $d_i \leq t_1$ , the cumulative execution of task  $\tau_i$  can be computed as follows:

$$\eta_i = d_i \cdot u_i^{LO} \quad (36)$$

For  $d_i > t_1$ , if  $d_i^{max} \leq t_2$ , then the maximum cumulative execution can be bounded as follows:

$$\begin{aligned} \eta_i &\leq a_i \cdot u_i^{LO} + (d_i^{max} - a_i)u_i^{HI} \\ \Rightarrow \eta_i &\leq a_i \cdot u_i^{LO} + (t_2 - a_i)u_i^{HI} \quad (\text{since } d_i^{max} \leq t_2) \end{aligned}$$

Since  $a_i < t_1 \leq (a_1 + x(t_2 - a_1))$  by Proposition 2, we obtain

$$\begin{aligned} \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (t_2 - (a_1 + x(t_2 - a_1)))u_i^{HI} \\ \Leftrightarrow \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \end{aligned} \quad (37)$$

If  $d_i^{max} > t_2$ , our reasoning is similar to the case discussed in Case 1. The maximum cumulative execution happens to that  $J_i$  completes its execution before  $t_1$ . Similarly, in this case, its cumulative execution can be upper bounded by

$$\eta_i \leq a_i u_i^{LO} + (d_i - a_i)u_i^{LO}$$

By Proposition 6, we obtain

$$\begin{aligned} \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (t_2 - (a_1 + x(t_2 - a_1)))u_i^{HI} \\ \Leftrightarrow \eta_i &\leq (a_1 + x(t_2 - a_1))u_i^{LO} + (1 - x)(t_2 - a_1)u_i^{HI} \end{aligned}$$

The above discussion proves that Lemma 1 still holds for *low*-criticality tasks of the EMC model. ■

## APPENDIX III

Experimental results between EDF-VD and AMC is depicted in Figure 7, where pCriticality=0.3.