

Satisfiability Modulo Theories

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Goal

To decide the satisfiability of formulas with respect to decidable background theories ...

$$\phi ::= \mathcal{A} \mid \neg\phi \mid \phi \vee \phi \mid \phi \wedge \phi$$

Applications:

- Formal verification
- Scheduling
- Compiler optimization
- ...

Goal

To decide the satisfiability of formulas with respect to decidable background theories ...

$$\phi ::= \mathcal{A} \mid \neg\phi \mid \phi \vee \phi \mid \phi \wedge \phi$$

... using a *combination* of SAT solving and theory-specific decision procedures.

Applications:

- Formal verification
- Scheduling
- Compiler optimization
- ...

People

- Armando, Alessandro (U. of Genova)
- Barrett, Clark (New York U.)
- Berezin, Sergey (Stanford U.)
- Castellini, Claudio (U. of Genova)
- Cimatti, Alessandro (IRST-ITC)
- Cok, David (Eastman Kodak Company)
- Flanagan, Cormac (UC Santa Cruz)
- Fontaine, Pascal (U. of Liège)
- Ganesh, Vijay (Stanford U.)
- Giunchiglia, Enrico (U. of Genova)
- Kiniry, Joseph (U. of Nijmegen and KindSoftware LCC)
- Krstic, Sava (Strategic CAD Labs, Intel Corporation)
- Harrison, John (Intel)
- Janicic, Predrag (U. of Belgrade)
- Lahiri, Shuvendu (Carnegie Mellon U.)

People, cntd.

- Joshi, Rajeev (NASA JPL)
- de Moura, Leonardo (SRI International)
- Nelson, Greg (HP Laboratories)
- Ranise, Silvio (INRIA-Lorraine)
- Ringeissen, Christophe (INRIA-Lorraine)
- Ruess, Harald (SRI International)
- Saxe, Jim (Compaq SRC)
- Sebastiani, Roberto (U. of Trento)
- Seshia, Sanjit (Carnegie Mellon U.)
- Shankar, Natarajan (SRI International)
- Strichman, Ofer (Technion U.)
- Stump, Aaron (Washington U.)
- Tinelli, Cesare (U. of Iowa)
- Zarba, Calogero (INRIA-Lorraine)

Source: <http://goedel.cs.uiowa.edu/smtlib/group.html>

Some SMT Systems

● Current:

- Argo-lib
- DPLL(T)
- CVC Lite
- haRVey
- ICS
- Math-SAT
- T_{sat}++
- UCLID

● Old:

- CVC
- LPSAT
- RDL
- Simplify
- STeP
- SVC
- T_{sat}

Source: <http://goedel.cs.uiowa.edu/smtlib/solvers.html>

Combining Decision Procedures

Theories:

- \mathcal{R} : theory of rationals

$$\Sigma_{\mathcal{R}} = \{\leq, +, -, 0, 1\}$$

- \mathcal{L} : theory of lists

$$\Sigma_{\mathcal{L}} = \{=, \text{hd}, \text{tl}, \text{nil}, \text{cons}\}$$

- \mathcal{E} : theory of equality

Σ : free function and predicate symbols

Problem: Is

$$x \leq y \wedge y \leq x + \text{hd}(\text{cons}(0, \text{nil})) \wedge P(h(x) - h(y)) \wedge \neg P(0)$$

satisfiable in $\mathcal{R} \cup \mathcal{L} \cup \mathcal{E}$?

The Nelson-Oppen Procedure

G. Nelson and D.C. Oppen: *Simplification by cooperating decision procedures*, ACM Trans. on Programming Languages and Systems, 1(2):245-257, 1979.

Given:

- $\mathcal{T}_1, \mathcal{T}_2$ first-order theories with signatures Σ_1, Σ_2
- $\Sigma_1 \cap \Sigma_2 = \emptyset$
- ϕ quantifier-free formula over $\Sigma_1 \cup \Sigma_2$

Obtain a decision procedure for satisfiability in $\mathcal{T}_1 \cup \mathcal{T}_2$ from decision procedures for satisfiability in \mathcal{T}_1 and \mathcal{T}_2 .

Nelson-Oppen: Example

Variable abstraction + equality propagation:

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v_2

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\mathcal{R}

\mathcal{L}

\mathcal{E}

$$x \leq y$$

$$y \leq x + v_1$$

$$P(v_2)$$

$$\neg P(v_5)$$

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\mathcal{R}	\mathcal{L}	\mathcal{E}
$x \leq y$		$P(v_2)$
$y \leq x + v_1$		$\neg P(v_5)$
$v_2 = v_3 - v_4$	$v_1 = \text{hd}(\text{cons}(v_5, \text{nil}))$	$v_3 = h(x)$
$v_5 = 0$		$v_4 = h(y)$

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Extensions and Related Work

- Relaxations of the *disjointness* requirement
- Nelson-Oppen is sound for combinations of *stably-infinite* theories
- R.E. *Shostak*: *Deciding Combinations of Theories*. J. of the ACM, 31(1):1-12, 1984
- Combinations of *unification* algorithms [F. Baader, K. Schulz]

SAT Solving: DPLL

M. Davis, G. Logemann, D. Loveland: *A machine program for theorem-proving*. Communications of the ACM, 5(7):394-397, 1962.

```
dp11( $\phi$ :Boolean formula,  $\theta$ :partial assignment) {  
   $\theta'$  := deduce( $\phi$ ,  $\theta$ );  
   $\phi'$  := eval( $\phi$ ,  $\theta'$ );  
  if  $\phi'$ =True then return  $\theta'$   
  else if  $\phi'$ =False then return UNSATISFIABLE  
  else {  
     $x$  := choose_fresh_variable( $\phi'$ ,  $\theta'$ );  
    result := dp11( $\phi'$ ,  $\theta' \cup \{x \mapsto \text{True}\}$ );  
    if result=UNSATISFIABLE then  
      return dp11( $\phi'$ ,  $\theta' \cup \{x \mapsto \text{False}\}$ )  
    else return result  
  }  
}
```

Combining Nelson-Oppen and DPLL

```
satisfy( $\phi$ :formula) {  
    create mapping  $\Gamma$  from Boolean variables to  
    atomic formulas;  
    while True {  
         $\theta := \text{dpll}(\Gamma^{-1}(\phi), \emptyset);$   
        if  $\theta = \text{UNSATISFIABLE}$  then return  $\theta$   
        else {  
             $\Theta := \Gamma(\theta);$   
            if  $\text{n-o}(\Theta) = \text{SATISFIABLE}$  then return  $\Theta$   
            else  $\phi := \phi \wedge \neg\Theta$   
        }  
    }  
}
```

Optimizations and Variants

- Gilles Audemard, Piergiorgio Bertoli, Alessandro Cimatti, Artur Kornilowicz, Roberto Sebastiani: *A SAT Based Approach for Solving Formulas over Boolean and Linear Mathematical Propositions*. 18th International Conference on Automated Deduction (CADE 2002), Copenhagen, Denmark, July 2002. *Math-SAT*
- Cormac Flanagan, Rajeev Joshi, Xinming Ou, James B. Saxe: *Theorem Proving using Lazy Proof Explication*. 15th International Conference on Computer Aided Verification (CAV 2003), Boulder, USA, July 2003. *Verifun*
- Harald Ganzinger, George Hagen, Robert Nieuwenhuis, Albert Oliveras, Cesare Tinelli: *DPLL(T): Fast Decision Procedures*. 16th International Conference on Computer Aided Verification (CAV 2004), Boston, USA, July 2004. *DPLL(T)*

Optimizations: Math-SAT

- Preprocessing atoms

Atoms are rewritten into *normal form*, using theory-specific facts (associativity, commutativity, ...).

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Partial Boolean assignments are tested by the theory-specific decision procedure.

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Atoms are rewritten into *normal form*, using theory-specific facts (associativity, commutativity, ...).
- Several layers of decision procedures
More powerful procedures are *invoked only when weaker ones fail* to show unsatisfiability.
- Early pruning
Partial Boolean assignments are tested by the theory-specific decision procedure.
- Enhanced early pruning
Information gained from partial assignments is *passed back* to the SAT solver.

Optimizations: Math-SAT, Verifun

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 - Lazy/eager
 - Fine-grain/coarse-grain
 - Hiding of new proxy variables
- Mathematical backjumping
The solver jumps back to the deepest branching point in which a literal *contributing to a conflict* was assigned a value.

Optimizations: DPLL(T)

- *Tight integration* of the theory-specific decision procedure with the DPLL framework:
 - `Initialize(\mathcal{L} :literal set)`
 - `SetTrue(l : \mathcal{L} -literal): \mathcal{L} -literal set`
 - `IsTrue?(l : \mathcal{L} -literal):bool`
 - `Backtrack(n : \mathbb{N})`
 - `Explanation(l : \mathcal{L} -literal): \mathcal{L} -literal set`

The solver maintains a stack of all \mathcal{L} -literals that are true in a partial interpretation.

Future Work

- Better (theory-dependent) *heuristics* for ...
 - lemma management
 - literal selection
 - restarting
- Extension of existing SMT systems with decision procedures for *other theories*