

SAT-based Finite Model Generation for Higher-Order Logic

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Motivation

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Complex **formalizations** almost inevitably contain bugs.

- Initial conjectures are frequently false.
- A counterexample often exhibits a fault in the implementation.



Questions

- 1 Can we use efficient SAT solvers to find **counterexamples** in higher-order logic automatically?

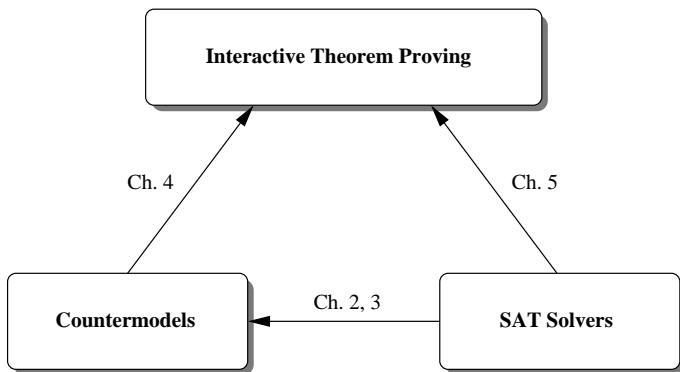


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- 1 Can we use efficient SAT solvers to find **counterexamples** in higher-order logic automatically?
- 2 Can we use efficient SAT solvers to **prove theorems** in an LCF-style theorem prover?



Overview



Higher-Order Logic

Isabelle/HOL: **higher-order logic**, based on Church's simple theory of types (1940)

- **Types:** $\sigma ::= \alpha \mid (\sigma_1, \dots, \sigma_n)c$
- **Terms:** $t_\sigma ::= x_\sigma \mid c_\sigma \mid (t_{\sigma' \rightarrow \sigma} t'_{\sigma'})_\sigma \mid (\lambda x_{\sigma_1}. t_{\sigma_2})_{\sigma_1 \rightarrow \sigma_2}$

Two special type constructors: **bool** and \rightarrow

Two logical constants: $\implies_{\text{bool} \rightarrow \text{bool} \rightarrow \text{bool}}$ and $=_{\sigma \rightarrow \sigma \rightarrow \text{bool}}$



The Semantics of HOL

Standard **set-theoretic** semantics:

- Types denote certain non-empty sets.
 - $\llbracket \text{bool} \rrbracket = \{\top, \perp\}$
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Translation to Propositional Logic

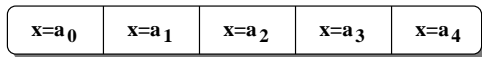
- Terms of base type: e.g., x_α , with $\llbracket \alpha \rrbracket = \{a_0, a_1, a_2, a_3, a_4\}$

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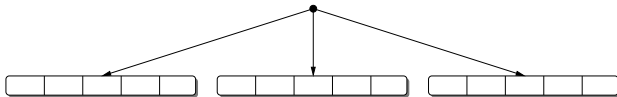


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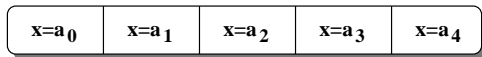


- Functions: e.g., $f_{\beta \rightarrow \alpha}$, with $\llbracket \beta \rrbracket = \{b_0, b_1, b_2\}$

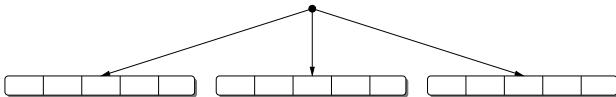


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- Application, lambda abstraction



Soundness, Completeness

Corollary 2.103 (paraphrased)

The resulting propositional formula is satisfiable if and only if the HOL input formula has a standard model of the given size.



Optimizations

- Propositional simplification
- Term abbreviations
- Specialization for certain functions
- Undefined values, 3-valued logic



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Extensions

- Type definitions, constant definitions, overloading
- Axiomatic type classes
- Data types, recursive functions
- Sets, records
- HOLCF



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Case Studies

- The RSA-PSS security protocol
- Probabilistic programs
- A SAT-based Sudoku solver



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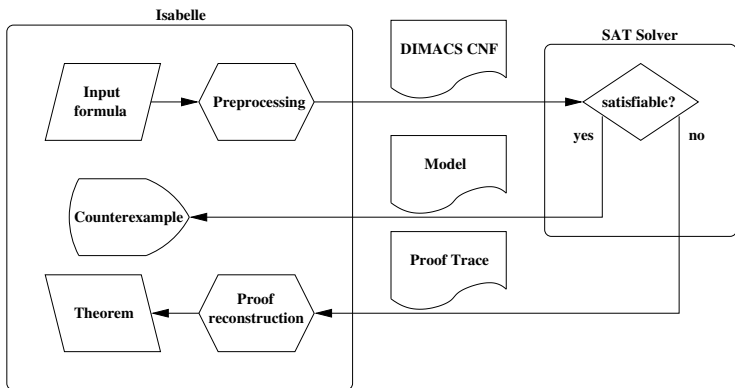


Case Studies

- The RSA-PSS security protocol
 - security of an abstract formalization of the protocol
- Probabilistic programs
 - an abstract model of probabilistic programs
- A SAT-based Sudoku solver
 - a **highly efficient** solver with **very little** implementation effort



System Overview



Representation of SAT Problems

Naive: using HOL connectives \wedge , \vee



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Much better:

- 1 The whole CNF problem is assumed: $\{\bigwedge_{i=1}^k C_i\} \vdash \bigwedge_{i=1}^k C_i$.
- 2 Each clause is derived: $\{\bigwedge_{i=1}^k C_i\} \vdash C_1, \dots, \{\bigwedge_{i=1}^k C_i\} \vdash C_k$.
- 3 Then a sequent representation is used:

$$\{\bigwedge_{i=1}^k C_i, \overline{p_1}, \dots, \overline{p_n}\} \vdash \text{False}.$$



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- The problem is a **set of clauses**.
- Clauses are **sets of literals**.
- **Resolution** is fast.



Performance

Evaluation on **SATLIB** problems:

Problem	Variables	Clauses	Resolutions	zChaff (s)	Isabelle (s)
c7552mul.miter	11282	69529	242509	45	69
6pipe	15800	394739	310813	134	192
6pipe_6_000	17064	545612	782903	263	421
7pipe	23910	751118	497019	440	609

Evaluation on **pigeonhole** instances:

Problem	Variables	Clauses	Resolutions	zChaff (s)	Isabelle (s)
pigeon-9	90	415	73472	1	3
pigeon-10	110	561	215718	6	10
pigeon-11	132	738	601745	24	36
pigeon-12	156	949	3186775	247	315



Contributions

- A SAT-based **finite model generator** for higher-order logic
 - A satisfiability-equivalent translation from higher-order logic to propositional logic
 - Support for data types, recursive functions, etc.
 - Case studies



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 - Support for data types, recursive functions, etc.
 - Case studies
- A highly optimized LCF-style **integration of proof-producing SAT solvers**
 - Dramatic performance improvements for propositional logic
 - Optimization techniques also applicable to other provers



Future Work

- Integration with Isabelle
- Optimizations
- External model generators
- Other methods of disproving



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- Formalization



Questions?

Thank you for your attention.

