

# Designing Proof Formats

## A User's Perspective

Sascha Böhme    and    Tjark Weber



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# Why Do Proofs Matter?

**Correctness** is paramount: automatic provers are used, e.g., to verify safety-critical applications.

**Bugs** are inevitable: state-of-the-art provers are complex tools.

**Verification** of automatic provers may not be feasible in practice.

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**Verification** of automatic provers may not be feasible in practice.

**Certificates** for individual results are relatively easy to generate. Ideally, they can be checked independently by a simple (possibly verified) proof checker.

# Classes of Automatic Provers

- SAT** prove unsatisfiability of CNF formulas
- QBF** prove satisfiability and invalidity of quantified Boolean formulae
- SMT** prove unsatisfiability of formulas from (fragments of) first-order logic with theories
- ATP** prove validity of formulas from first-order logic with equality

# Proof Formats of Automatic Provers

## SAT

- ▶ conceptually simple: sequence of resolution steps
- ▶ no proof standard: provers have their own proof syntax

## QBF

- ▶ proofs of invalidity: based on Q-resolution
- ▶ proofs of satisfiability: diverse techniques
- ▶ proof standard proposed for competitions

# Proof Formats of Automatic Provers

## SMT

- ▶ various distinct proof formats
- ▶ based on natural deduction, LF, ...
- ▶ proof standard proposed for competitions

## ATP

- ▶ TSTP proof standard due to annual CASC
- ▶ very general: fixed syntax, flexible inferences

# LCF-style Proof Assistants

LCF-style proof assistants are based on a **small inference kernel**.  
Theorems are implemented as an abstract data type.

As a framework for the implementation of proof checkers,  
LCF-style proof assistants are ...

- ▶ **generic** (e.g., based on higher-order logic)
- ▶ **sound** (provided their kernel is correct)
- ▶ **powerful** (term rewriting, arithmetic, ...)



# Proof Certificates

Certificates should be:

for provers easy (and fast) to generate

for users easy and fast to check and  
easy to store



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Conflict!

# Guidelines for Proof Formats

- ▶ Use an existing format
- ▶ Provide a human-readable, lightweight representation
- ▶ Take theoretical considerations into account
- ▶ Use simple, canonical semantics
- ▶ Add declarative information
- ▶ Provide exhaustive documentation

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# Use an Existing Format

Good:

- ▶ “Let’s add some `printf` statements.”

Much better:

- ▶ Use an existing proof format!
- ▶ Alternatively: be compatible with widespread provers.

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# Provide a Human-Readable, Lightweight Representation

## Good:

- ▶ “Let’s provide an in-memory API.”
- ▶ “And a binary file format.”

## Much better:

- ▶ Provide a human-readable representation!
- ▶ Use a standardized data format language!

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# Take Theoretical Considerations into Account

Good:

- ▶ “Here’s a function call, let’s print that.”
- ▶ “And this data structure too.”

Much better:

- ▶ Consider complexity of proof checking!
- ▶ Proof checking ought to be easier than proof search.



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# Use Simple, Canonical Semantics

## Bad:

- ▶ “Let’s use one really powerful proof rule, with numerous flags for odd cases.”
- ▶ “And some rules for particular optimizations in the prover.”

## Much better:

- ▶ Use small, focused inference rules with clear semantics!
- ▶ Do not expose low-level optimizations!

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- ▶ **Add declarative information**
- ▶ Provide exhaustive documentation

# Add Declarative Information

Bad:

- ▶ Implicit invariants about formulas.
- ▶ Non-obvious assumptions.

Much better:

- ▶ Explicitly provide inferred formulas!
- ▶ Add “superfluous” information for checking!

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# Provide Exhaustive Documentation

Bad:



Much better:

- ▶ Describe the (abstract and concrete) syntax and semantics of the proof format, including preprocessing and normalization!
- ▶ Ideally provide an independent checker or some (semi-)formal documentation!

# Conclusion

- ▶ Use an existing format
- ▶ Provide a human-readable, lightweight representation
- ▶ Take theoretical considerations into account
- ▶ Use simple, canonical semantics
- ▶ Add declarative information
- ▶ Provide exhaustive documentation