

# Global Optimization

## Lecture Outline

- Global flow analysis
- Global constant propagation
- Liveness analysis

## Local Optimization

Recall the simple basic-block optimizations

- Constant propagation
- Dead code elimination

$x := 42$   
 $y := z * w$   
 $q := y + x$

→

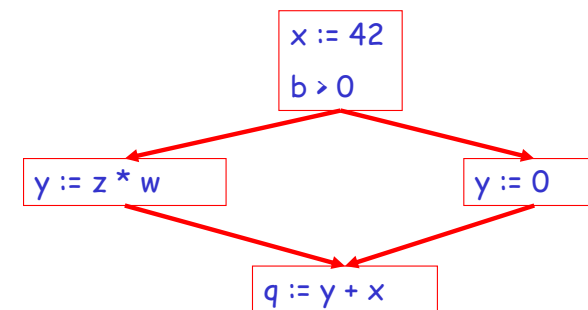
$x := 42$   
 $y := z * w$   
 $q := y + 42$

→

$y := z * w$   
 $q := y + 42$

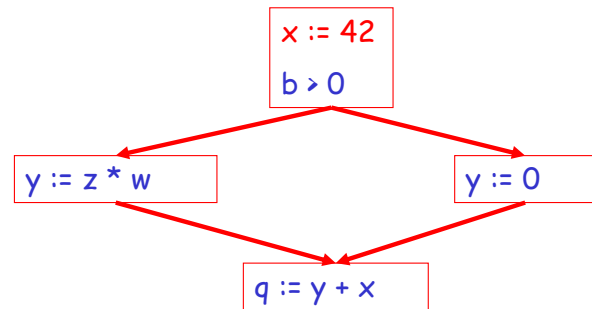
## Global Optimization

These optimizations can be extended to an entire control-flow graph



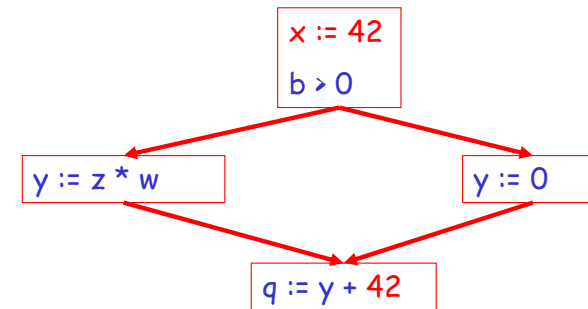
## Global Optimization

These optimizations can be extended to an entire control-flow graph



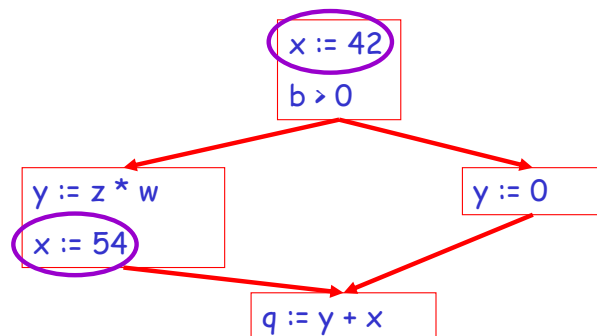
## Global Optimization

These optimizations can be extended to an entire control-flow graph



## Correctness

- How do we know it is OK to globally propagate constants?
- There are situations where it is incorrect:

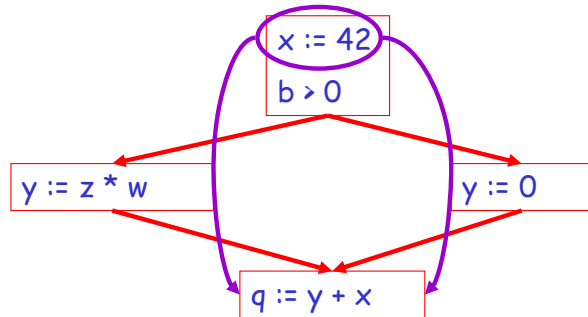


## Correctness (Cont.)

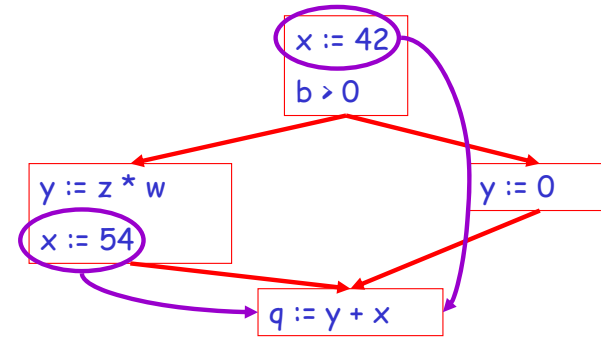
To replace a use of  $x$  by a constant  $k$  we must know that the following property **\*\*** holds:

*On every path to the use of  $x$ ,  
the last assignment to  $x$  is  $x := k$     \*\**

## Example 1 Revisited



## Example 2 Revisited



## Discussion

- The correctness condition is not trivial to check
- "All paths" includes paths around loops and through branches of conditionals
- Checking the condition requires *global analysis*
  - An analysis that determines how data flows over the entire control-flow graph

## Global Analysis

Global optimization tasks share several traits:

- The optimization depends on knowing a property  $P$  at a particular point in program execution
- Proving  $P$  at any point requires knowledge of the entire function body
- It is OK to be conservative: If the optimization requires  $P$  to be true, then want to know either
  - that  $P$  is definitely true, or
  - that we don't know whether  $P$  is true
- It is always safe to say "don't know"

## Global Analysis (Cont.)

- *Global dataflow analysis* is a standard technique for solving problems with these characteristics
- Global constant propagation is one example of an optimization that requires global dataflow analysis

## Global Constant Propagation

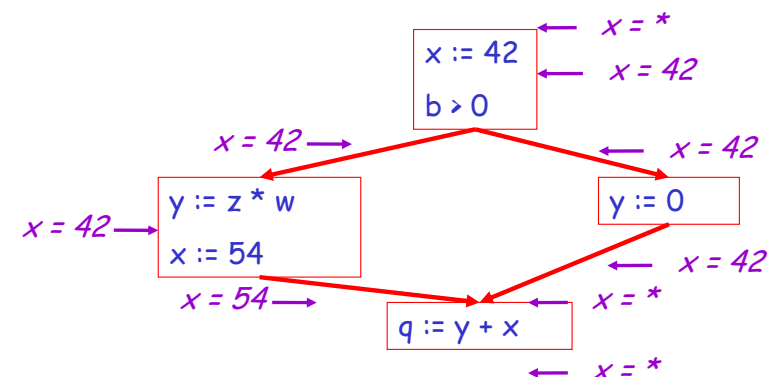
- Global constant propagation can be performed at any point where property **\*\*** holds
- Consider the case of computing **\*\*** for a single variable **x** at all program points

## Global Constant Propagation (Cont.)

- To make the problem precise, we associate one of the following values with **x** at every program point

value	interpretation
#	This statement never executes
c	$x = \text{constant } c$
*	Don't know whether <b>x</b> is a constant

## Example



## Using the Information

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- Given global constant information, it is easy to perform the optimization
  - Simply inspect the  $x = ?$  associated with a statement using  $x$
  - If  $x$  is constant at that point replace that use of  $x$  by the constant
- But how do we compute the properties  $x = ?$

## The Analysis Idea

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*The analysis of a (complicated) program can be expressed as a combination of simple rules relating the change in information between adjacent statements*

## Explanation

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- The idea is to “push” or “transfer” information from one statement to the next
- For each statement  $s$ , we compute information about the value of  $x$  immediately before and after  $s$

$C_{in}(x,s)$  = value of  $x$  before  $s$

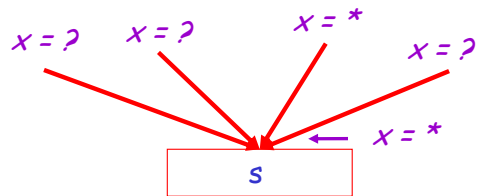
$C_{out}(x,s)$  = value of  $x$  after  $s$

## Transfer Functions

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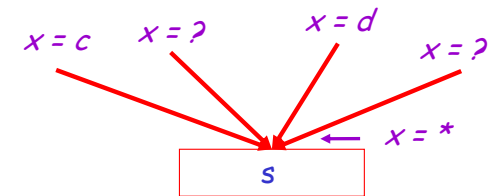
- Define a transfer function that transfers information from one statement to another
- In the following rules, let statement  $s$  have as immediate predecessors statements  $p_1, \dots, p_n$

## Rule 1



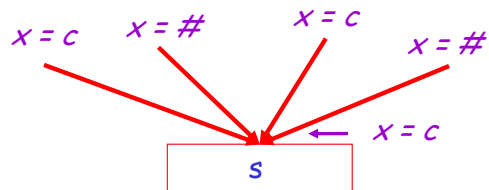
if  $C_{out}(x, p_i) = *$  for any  $i$ , then  $C_{in}(x, s) = *$

## Rule 2



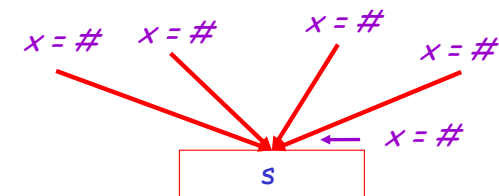
If  $C_{out}(x, p_i) = c$  and  $C_{out}(x, p_j) = d$  and  $d \neq c$   
then  $C_{in}(x, s) = *$

## Rule 3



if  $C_{out}(x, p_i) = c$  or  $\#$  for all  $i$ ,  
then  $C_{in}(x, s) = c$

## Rule 4

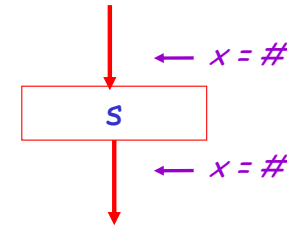


if  $C_{out}(x, p_i) = \#$  for all  $i$ ,  
then  $C_{in}(x, s) = \#$

## The Other Half

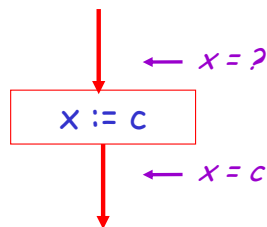
- Rules 1-4 relate the *out* of one statement to the *in* of the successor statement
- We also need rules relating the *in* of a statement to the *out* of the same statement

## Rule 5



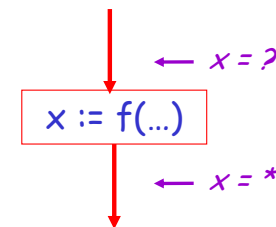
$$C_{\text{out}}(x, s) = \# \text{ if } C_{\text{in}}(x, s) = \#$$

## Rule 6



$$C_{\text{out}}(x, x := c) = c \text{ if } c \text{ is a constant}$$

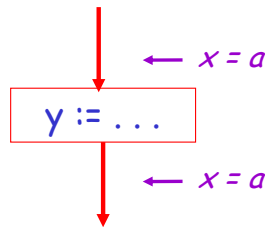
## Rule 7



$$C_{\text{out}}(x, x := f(\dots)) = *$$

This rule says that we do not perform inter-procedural analysis (i.e. we do not look at other functions do)

## Rule 8



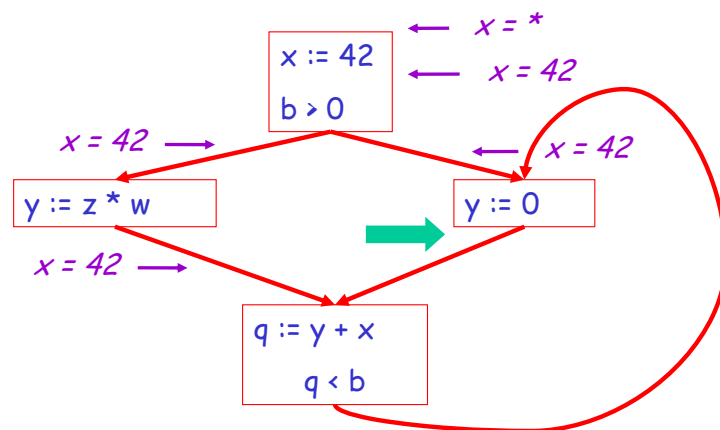
$$C_{\text{out}}(x, y := \dots) = C_{\text{in}}(x, y := \dots) \text{ if } x \neq y$$

## An Algorithm

1. For every entry  $s$  to the function, set  $C_{\text{in}}(x, s) = *$
2. Set  $C_{\text{in}}(x, s) = C_{\text{out}}(x, s) = \#$  everywhere else
3. Repeat until all points satisfy 1-8:  
Pick  $s$  not satisfying 1-8 and update using the appropriate rule

## The Value #

To understand why we need  $\#$ , look at a loop



## Discussion

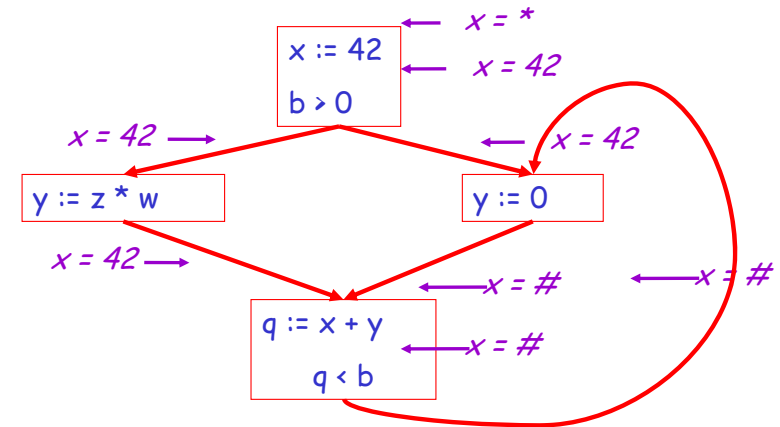
- Consider the statement  $y := 0$
- To compute whether  $x$  is constant at this point, we need to know whether  $x$  is constant at the two predecessors
  - $x := 42$
  - $q := y + x$
- But information for  $q := y + x$  depends on its predecessors, including  $y := 0$ !



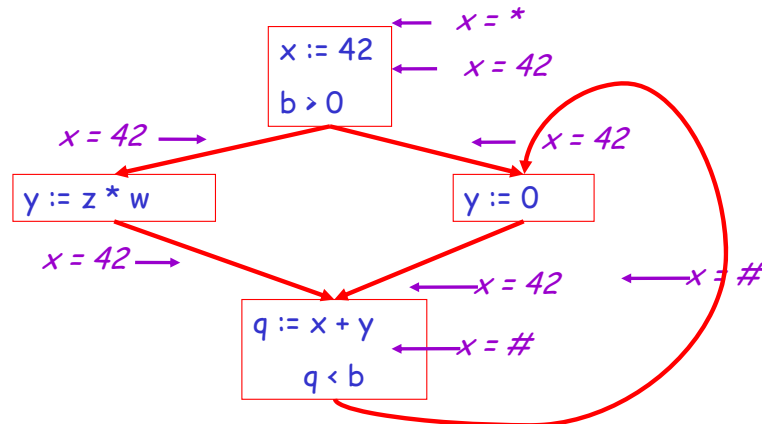
## The Value # (Cont.)

- Because of cycles, all points must have values at all times
- Intuitively, assigning some initial value allows the analysis to break cycles
- The initial value **#** means "So far as we know, control never reaches this point"

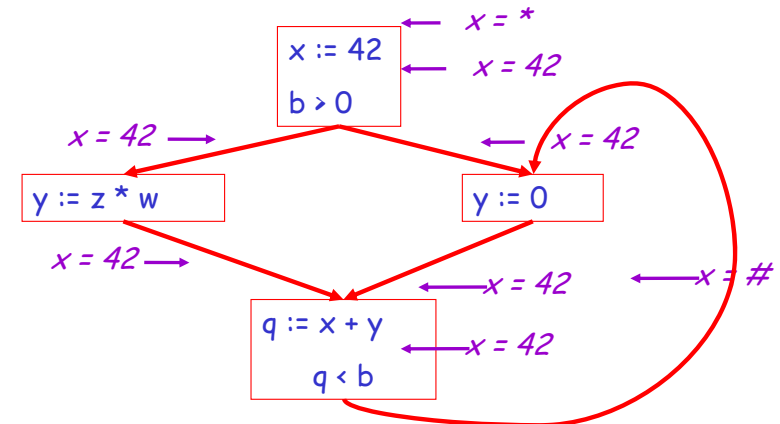
## Example



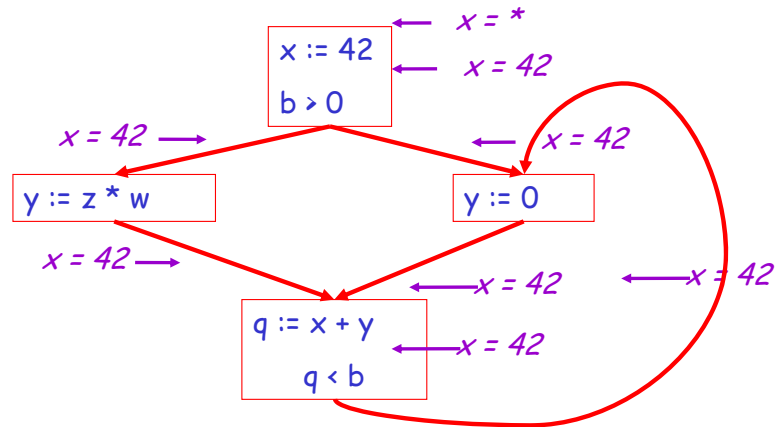
## Example



## Example



## Example

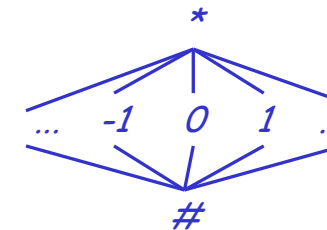


## Orderings

- We can simplify the presentation of the analysis by ordering the values

$$\# < c < *$$

- Drawing a picture with "lower" values drawn lower, we get



## Orderings (Cont.)

- `*` is the greatest value, `#` is the least
  - All constants are in between and incomparable
- Let *lub* be the least-upper bound in this ordering
- Rules 1-4 can be written using lub:
 
$$C_{in}(x, s) = \text{lub} \{ C_{out}(x, p) \mid p \text{ is a predecessor of } s \}$$

## Termination

- Simply saying "repeat until nothing changes" doesn't guarantee that eventually we reach a point where nothing changes
- The use of lub explains why the algorithm terminates
  - Values start as `#` and only *increase*
  - `#` can change to a constant, and a constant to `*`
  - Thus,  $C_{in}(x, s)$  can change at most twice

## Termination (Cont.)

Thus the algorithm is linear in program size

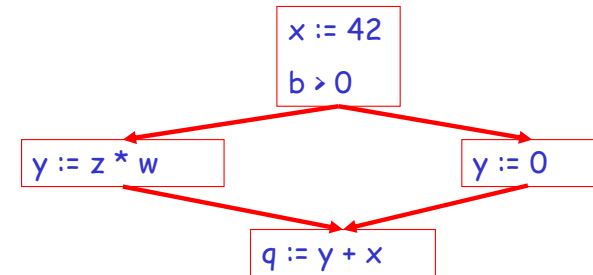
Number of steps =

Number of  $C_{\dots}$  values computed \* 2 =

Number of program statements \* 4

## Liveness Analysis

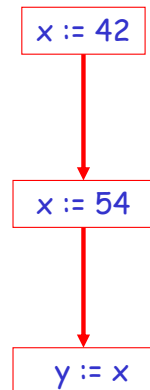
Once constants have been globally propagated, we would like to eliminate dead code



*After constant propagation,  $x := 42$  is dead (assuming  $x$  is not used elsewhere)*

## Live and Dead Variables

- The first value of  $x$  is *dead* (never used)
- The second value of  $x$  is *live* (may be used)
- Liveness is an important concept for the compiler



## Liveness

A variable  $x$  is live at statement  $s$  if

- There exists a statement  $s'$  that uses  $x$
- There is a path from  $s$  to  $s'$
- That path has no intervening assignment to  $x$

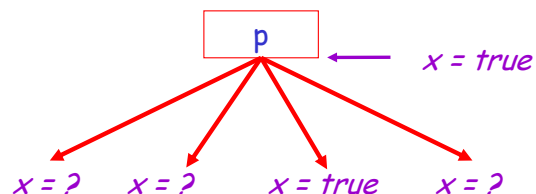
## Global Dead Code Elimination

- A statement  $x := \dots$  is dead code if  $x$  is dead after the assignment
- Dead statements can be deleted from the program
- But we need liveness information first . . .

## Computing Liveness

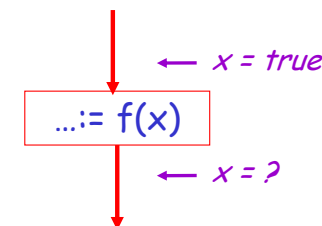
- We can express liveness in terms of information transferred between adjacent statements, just as in copy propagation
- Liveness is simpler than constant propagation, since it is a boolean property (true or false)

## Liveness Rule 1



$$L_{\text{out}}(x, p) = \bigvee \{ L_{\text{in}}(x, s) \mid s \text{ a successor of } p \}$$

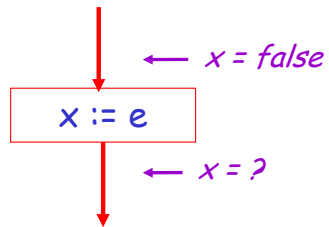
## Liveness Rule 2



$$L_{\text{in}}(x, s) = \text{true} \quad \text{if } s \text{ refers to } x \text{ on the RHS}$$

## Liveness Rule 3

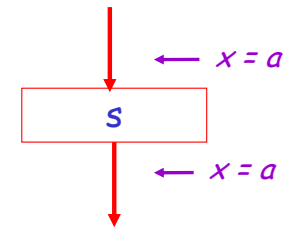
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$L_{in}(x, x := e) = false$  if  $e$  does not refer to  $x$

## Liveness Rule 4

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$L_{in}(x, s) = L_{out}(x, s)$  if  $s$  does not refer to  $x$

## Algorithm

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1. Let all  $L_{in}(...) = false$  initially
2. Repeat until all statements  $s$  satisfy rules 1-4  
Pick  $s$  where one of 1-4 does not hold and update using the appropriate rule

## Termination

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- A value can change from **false** to **true**, but not the other way around
- Each value can change only once, so termination is guaranteed
- Once the analysis information is computed, it is simple to eliminate dead code

## Forward vs. Backward Analysis

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We have seen two kinds of analysis:

- Constant propagation is a *forwards* analysis: information is pushed from inputs to outputs
- Liveness is a *backwards* analysis: information is pushed from outputs back towards inputs

## Global Flow Analyses

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- There are many other global flow analyses
- Most can be classified as either forward or backward
- Most also follow the methodology of local rules relating information between adjacent program points