

# Chapter 34: P versus NP, A Gentle Introduction

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Course 1DL231:  
Algorithms and Data Structures 2 (AD2)





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$P \stackrel{?}{=} NP$

(Cook, 1971; Levin, 1973)

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This is one of the seven [Millennium Prize](#) problems of the Clay Mathematics Institute (Massachusetts, USA), each worth 1 million US\$.

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Thus: Can search always be avoided ( $P = NP$ ),  
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Problems that are solvable in polynomial time (in the input size) are considered **tractable**, or **easy**. Problems requiring non-polynomial time are considered **intractable**, or **hard**.





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- **Undecidable** problems cannot be solved by any algorithm, no matter how much time is allocated.  
**Examples:** halting problem; disjointness of two CFLs.

So **not** all problems are in NP, independently of P versus NP.



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# Reduction and NP Hardness (Karp, 1972)

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Informally:

- A problem  $Q$  **reduces to** a problem  $R$ , denoted  $Q \leq_P R$ , if every instance of  $Q$  can be transformed in *poly* time into an instance of  $R$  that has the same yes/no answer.

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- Proving that a problem  $Q$  is in  $P$  is doable by showing that  $Q \leq_P E$  for some existing problem  $E$  in  $P$ .
- A problem is **NP-hard** if it is at least as hard as every problem in NP: **every** problem in NP reduces to it.



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- Proving that a problem  $Q$  is in  $P$  is doable by showing that  $Q \leq_P E$  for some existing problem  $E$  in  $P$ .
- A problem is **NP-hard** if it is at least as hard as every problem in  $NP$ : **every** problem in  $NP$  reduces to it.
- On slide 19 is a wider definition of  $NP$  hardness.





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If **some** NP-complete problem is polynomial-time solvable, then **every** problem in NP is poly-time solvable:  $P \supseteq NP$ .



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If **some** problem in NP is not poly-time solvable ( $P \neq NP$ ), then **no** NP-complete problem is polynomial-time solvable.



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The status of NP-complete problems is currently **unknown**: No polynomial-time algorithm was found for any of them, and no proof was made that no such algorithm can exist.



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Most experts **believe** NP-complete problems are intractable, as the opposite would be truly amazing.



# NP Completeness: Examples

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Given a digraph  $(V, E)$ :

## Examples

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- Determining the existence of a **Hamiltonian cycle** (which visits each *vertex* once) is NP-complete.



# NP Completeness: More Examples

## Examples

- **2-SAT**: Determining the satisfiability of a conjunction of disjunctions of 2 Boolean literals is in P.

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- **Subset Sum**: Determining the existence of a subset, of a given set, that has a given sum is NP-complete.

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# Pseudo-Polynomial Algorithms

## Example (Subset Sum)

Determining the existence of a subset, of a given set  $S$  of  $n$  numbers, that has a given sum  $t$  is NP-complete:

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- This is **exponential** in the **size**  $\lceil \log_b t \rceil$  of the base- $b$  representation of  $t$ , since  $t = b^{\log_b t}$  (usually:  $b = 2$ ).





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## Definition

An algorithm of complexity polynomial in the magnitude of its input numbers is said to be **pseudo-polynomial**.



# NP Completeness: Proof by Reduction

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Proving that a problem  $R$  of NP is NP-complete is doable by showing  $E \leq_P R$  for some existing NP-complete problem  $E$ , since by definition  $Q \leq_P E$  for every problem  $Q$  in NP.

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Examples (exercises will be given in the AD3 course)

- SAT is NP-complete (Cook, 1971; Levin, 1973).



# NP Completeness: Proof by Reduction

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Examples (exercises will be given in the AD3 course)

- SAT is NP-complete (Cook, 1971; Levin, 1973).
- SAT reduces to 3-SAT, but not to 2-SAT.



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- SAT reduces to 3-SAT, but not to 2-SAT.
- 3-SAT reduces to Clique and Subset Sum.
- Clique reduces to Vertex Cover, which reduces to Hamiltonian Cycle, which reduces to **Travelling Salesperson (TSP)**, asking if there is a Hamiltonian cycle with cost at most  $k$  in a complete weighted graph.



# Outline

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Introduction

P and NP

Reduction  
and  
NP Hardness

NP Com-  
pleteness

Relationships

What Now?

- 1 Introduction
- 2 P and NP
- 3 Reduction and NP Hardness
- 4 NP Completeness
- 5 Relationships**
- 6 What Now?





# Relationships

Introduction

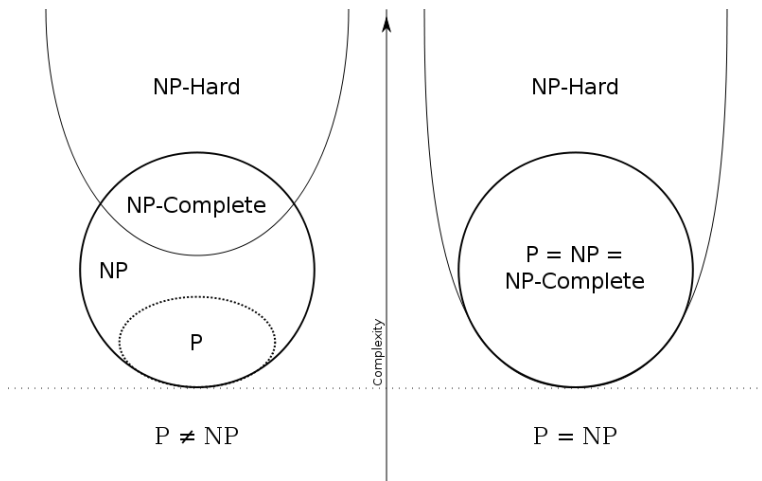
P and NP

Reduction  
and  
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NP Com-  
pleteness

**Relationships**

What Now?



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# Remarks

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- If  $P \neq NP$ , then there exist problems in NP that are neither in P nor NP-complete. Artificial such problems can be constructed, but integer factorisation and graph isomorphism are practical problems in NP that are currently not known to be in P or to be NP-complete.



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- There exist many other complexity classes, chartering the territory outside NP, some of them overlapping with the NP-hard class, and containing practical problems, such as planning. Determining a precise complexity map is contingent upon settling the P versus NP issue.



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- There exist many other complexity classes, chartering the territory outside NP, some of them overlapping with the NP-hard class, and containing practical problems, such as planning. Determining a precise complexity map is contingent upon settling the P versus NP issue.
- The stable matching problem is believed by many to be hard, but it can be solved in  $\mathcal{O}(n)$  time for  $n$  hospitals &  $n$  students, and is thus in P (Gale and Shapley, 1962). Shapley shared the [Nobel Prize in Economics 2012](#).



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# What Now?

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In an **optimisation problem**, a 'yes' answer includes an optimal witness according to some cost function.



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Satisfaction and optimisation problems with NP-complete decision problems are often also said to be **NP-hard**.

(Recall the method on slide 11 for finding a longest path.)



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Satisfaction and optimisation problems with NP-complete decision problems are often also said to be **NP-hard**.

(Recall the method on slide 11 for finding a longest path.)

Several courses at Uppsala University teach techniques for addressing NP-hard optimisation or satisfaction problems:

- **Algorithms and Datastructures 3 (1DL481)** (period 3)
- **Continuous Optimisation (1TD184)** (period 2)
- **Modelling for Combinatorial Optim. (1DL451)** (period 1)
- **CO & Constraint Programming (1DL442)** (periods 1+2)

☞ **NP completeness is where the fun begins (not ends)!**