

# DATABASE TECHNOLOGY - 1MB025

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An introductory course on database systems

<http://user.it.uu.se/~udbl/dbt-ht2005/>

alt. <http://www.it.uu.se/edu/course/homepage/dbastekn/ht05/>

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# Introduction to Relational Algebra

Elmasri/Navathe ch 6  
Padron-McCarthy/Risch ch 10??

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# Query languages

- Languages where users can express what information to retrieve from the database.
- Categories of query languages:
  - Procedural
  - Non-procedural (declarative)
- Formal (“pure”) languages:
  - Relational algebra
  - Relational calculus
    - Tuple-relational calculus
    - Domain-relational calculus
  - Formal languages form underlying basis of query languages that people use.



# Relational algebra

- **Relational algebra** is a procedural language
- Operations in relational algebra takes two or more relations as arguments and return a new relation.
- Relational algebraic operations:
  - Operations from set theory:
    - Union, Intersection, Difference, Cartesian product
  - Operations specifically introduced for the relational data model:
    - Select, Project, Join
- It have been shown that the *select*, *project*, *union*, *difference*, and *cartesian product* operations form a complete set. That is any other relational algebra operation can be expressed in these.



## Operations from set theory

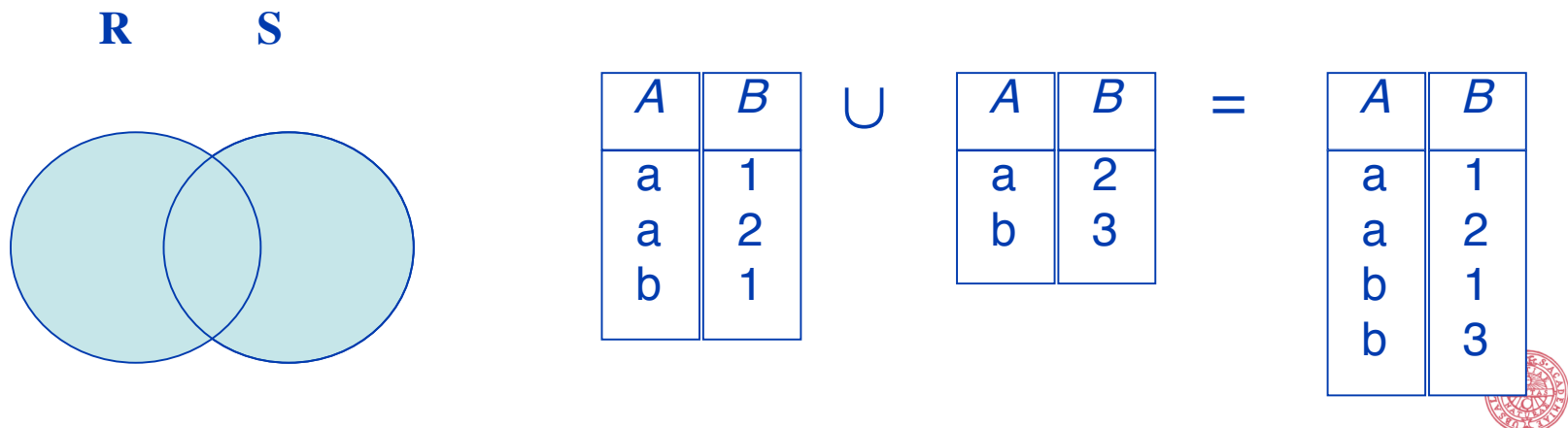
- Relations are required to be **union compatible** to be able to take part in the union, intersection and difference operations.
- Two relations  $R_1$  and  $R_2$  is said to be union-compatible if:

$$R_1 \subseteq D_1 \times D_2 \times \dots \times D_n \text{ and}$$
$$R_2 \subseteq D_1 \times D_2 \times \dots \times D_n$$

i.e. if they have the same degree and the same domains.

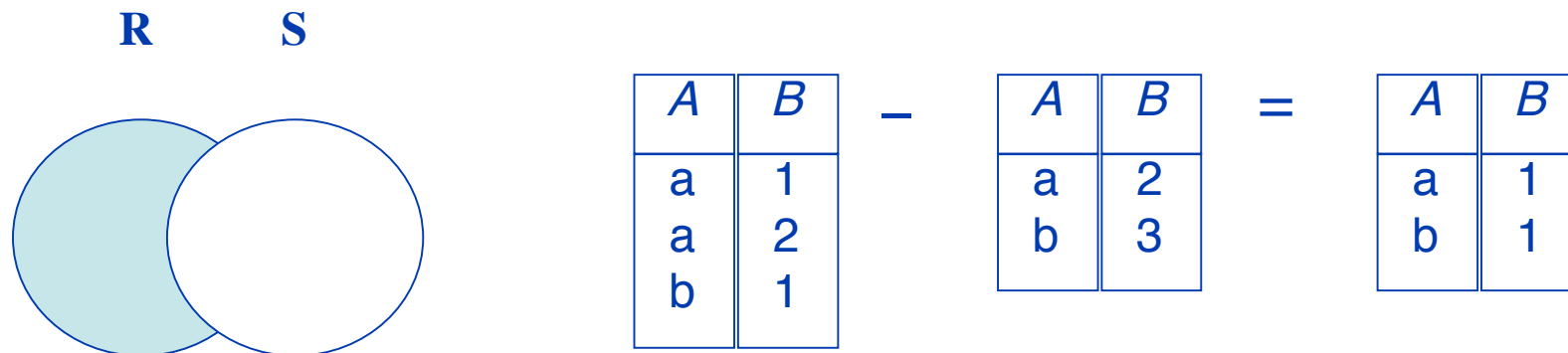
# Union operation

- The **union** of two union-compatible relations  $R$  and  $S$  is the set of all tuples that either occur in  $R$ ,  $S$ , or in both.
- Notation:  $R \cup S$
- Defined as:  $R \cup S = \{t \mid t \in R \text{ or } t \in S\}$
- For example:



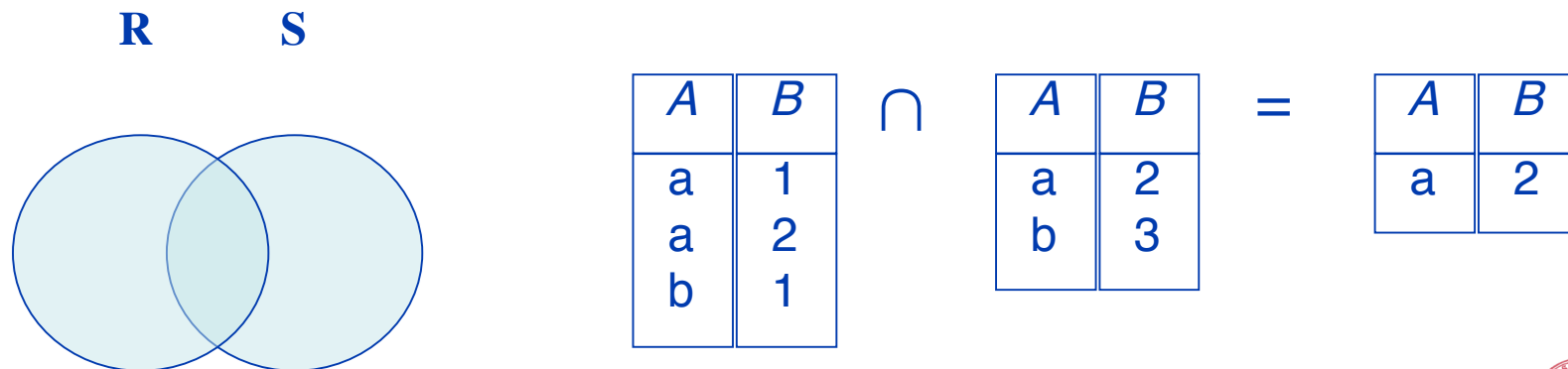
# Difference operation

- The **difference** between two union-compatible sets  $R$  and  $S$  is the set of all tuples that occur in  $R$  but not in  $S$ .
- Notation:  $R - S$
- Defined as:  $R - S = \{t \mid t \in R \text{ and } t \notin S\}$
- For example:



# Intersection

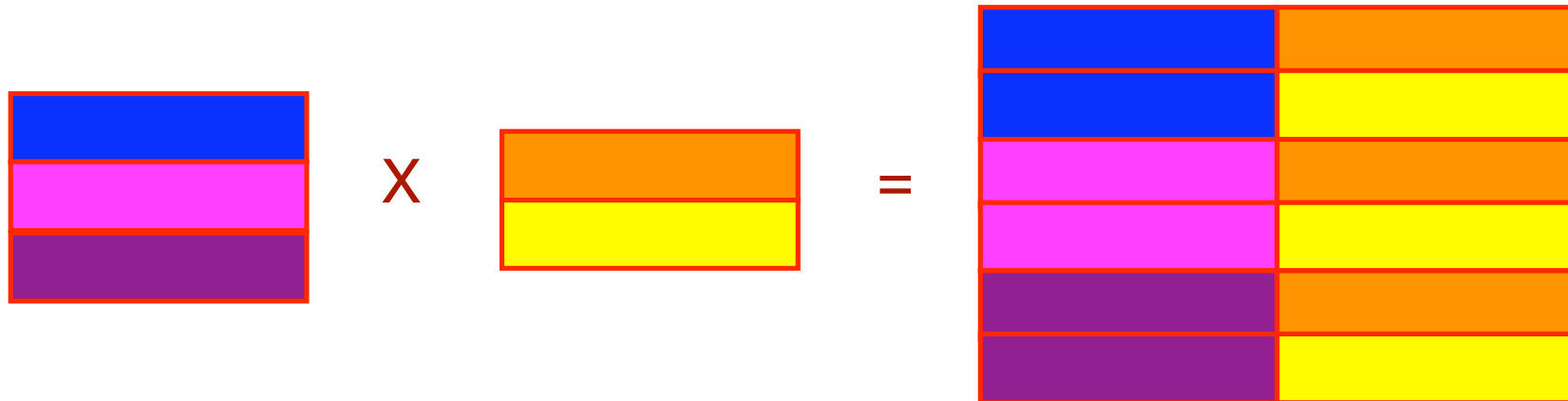
- The **intersection** of two union-compatible sets  $R$  and  $S$ , is the set of all tuples that occur in both  $R$  and  $S$ .
- Notation:  $R \cap S$
- Defined as:  $R \cap S = \{t \mid t \in R \text{ and } t \in S\}$
- For example:





## Cartesian product

- Let  $R$  and  $S$  be relations with  $k_1$  and  $k_2$  arities resp. The **cartesian product** of  $R$  and  $S$  is the set of all possible  $k_1+k_2$  tuples where the first  $k_1$  components constitute a tuple in  $R$  and the last  $k_2$  components a tuple in  $S$ .
- Notation:  $R \times S$
- Defined as:  $R \times S = \{t \mid t \in R \text{ and } t \in S\}$
- Assume that attributes of  $r(R)$  and  $s(S)$  are disjoint. (i.e.  $R \cap S = \emptyset$ ). If attributes of  $r(R)$  and  $s(S)$  are not disjoint, then renaming must be used.



# Cartesian product example

<table><tr><th><i>A</i></th><th><i>B</i></th></tr><tr><td>a</td><td>1</td></tr><tr><td>b</td><td>2</td></tr></table>	<i>A</i>	<i>B</i>	a	1	b	2	×	<table><tr><th><i>C</i></th><th><i>D</i></th></tr><tr><td>a</td><td>5</td></tr><tr><td>b</td><td>5</td></tr><tr><td>b</td><td>6</td></tr><tr><td>c</td><td>5</td></tr></table>	<i>C</i>	<i>D</i>	a	5	b	5	b	6	c	5	=	<table><tr><th><i>A</i></th><th><i>B</i></th><th><i>C</i></th><th><i>D</i></th></tr><tr><td>a</td><td>1</td><td>a</td><td>5</td></tr><tr><td>a</td><td>1</td><td>b</td><td>5</td></tr><tr><td>a</td><td>1</td><td>b</td><td>6</td></tr><tr><td>a</td><td>1</td><td>c</td><td>5</td></tr><tr><td>b</td><td>2</td><td>a</td><td>5</td></tr><tr><td>b</td><td>2</td><td>b</td><td>5</td></tr><tr><td>b</td><td>2</td><td>b</td><td>6</td></tr><tr><td>b</td><td>2</td><td>c</td><td>5</td></tr></table>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	a	1	a	5	a	1	b	5	a	1	b	6	a	1	c	5	b	2	a	5	b	2	b	5	b	2	b	6	b	2	c	5
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# Selection operation

- The selection operator,  $\sigma$ , selects a specific set of tuples from a relation according to a selection condition (or selection predicate)  $P$ .
- Notation:  $\sigma_P(R)$
- Defined as:  $\sigma_P(R) = \{t \mid t \in R \text{ and } P(t)\}$  (i.e. the set of tuples  $t$  in  $R$  that fulfills the condition  $P$ )
- Where  $P$  is a logical expression<sup>(\*)</sup> consisting of terms connected by:  
     $\wedge$  (**and**),  $\vee$  (**or**),  $\neg$  (**not**)  
and each term is one of:  
     $\langle \text{attribute} \rangle \text{ op } \langle \text{attribute} \rangle$  or  $\langle \text{constant} \rangle$   
where  $\text{op}$  is one of:  $=, \neq, >, \geq, <, \leq$

Example:  $\sigma_{\text{SALARY} > 30000}(\text{EMPLOYEE})$

(\*) a formula in propositional calculus



# Selection example

$R =$

$A$	$B$	$C$	$D$
a	a	1	7
a	b	5	7
b	b	2	3
b	b	4	9

$\sigma_{A=B \wedge D > 5}(R) =$

$A$	$B$	$C$	$D$
a	a	1	7
b	b	4	9

## Projection operation

- The **projection** operator,  $\Pi$ , picks out (or projects) listed columns from a relation and creates a new relation consisting of these columns.
- Notation:  $\Pi_{A_1, A_2, \dots, A_k}(R)$   
where  $A_1, A_2$  are attribute names and  $R$  is a relation name.
- The result is a new relation of  $k$  columns.
- Duplicate rows removed from result, since relations are sets.

Example:  $\Pi_{LNAME, FNAME, SALARY}(EMPLOYEE)$

# Projection example

$$R =$$

$A$	$B$	$C$
a	1	1
a	2	1
b	3	1
b	4	2


$$\Pi_{A,C}(R) =$$

$A$	$C$
a	1
<del>a</del>	<del>1</del>
b	1
b	2

$$=$$

$A$	$C$
a	1
b	1
b	2

## Join operator

- The **join** operator,  $\otimes$  (almost, correct ) , creates a new relation by joining related tuples from two relations.
- Notation:  $R \otimes_C S$   
 $C$  is the join condition which has the form  $A_r \theta A_s$ , where  $\theta$  is one of  $\{=, <, >, \leq, \geq, \neq\}$ . Several terms can be connected as  $C_1 \wedge C_2 \wedge \dots \wedge C_k$ .
- A join operation with this kind of general join condition is called “Theta join”.

# Example Theta join

R			S			$R \bowtie_{A \leq D} S$					
A	B	C	$\bowtie_{A \leq D}$			A	B	C	B	C	D
1	2	3				1	2	3	2	3	4
6	7	8				1	2	3	7	3	5
9	7	8				1	2	3	7	8	9
						6	7	8	7	8	9
						9	7	8	7	8	9



# Equijoin

- The same as join but it is required that attribute  $A_r$  and attribute  $A_s$  should have the same value.
- Notation:  $R \otimes_C S$   
 $C$  is the join condition which has the form  $A_r = A_s$ . Several terms can be connected as  $C_1 \wedge C_2 \wedge \dots C_k$ .

# Example Equijoin

$$\begin{array}{c} R \\ \begin{array}{|c|c|} \hline A & B \\ \hline a & 2 \\ a & 4 \\ \hline \end{array} \end{array} \quad \begin{array}{c} S \\ \begin{array}{|c|c|c|} \hline C & D & E \\ \hline 2 & d & e \\ 4 & d & e \\ 9 & d & e \\ \hline \end{array} \end{array} \quad \begin{array}{c} R \bowtie_{B=C} S \\ \begin{array}{|c|c|c|c|c|} \hline A & B & C & D & E \\ \hline a & 2 & 2 & d & e \\ a & 4 & 4 & d & e \\ \hline \end{array} \end{array}$$

## Natural join

- **Natural join** is equivalent with the application of join to R and S with the equality condition  $A_r = A_s$  (i.e. an equijoin) and then removing the redundant column  $A_s$  in the result.
- Notation:  $R \bowtie_{A_r, A_s} S$   
 $A_r, A_s$  are attribute pairs that should fulfil the join condition which has the form  $A_r = A_s$ . Several terms can be connected as  $C_1 \wedge C_2 \wedge \dots \wedge C_k$ .

# Example Natural join

$$\begin{array}{c} R \\ \begin{array}{|c|c|} \hline A & B \\ \hline a & 2 \\ a & 4 \\ \hline \end{array} \end{array} \otimes_{B=C} \begin{array}{c} S \\ \begin{array}{|c|c|c|} \hline C & D & E \\ \hline 2 & d & e \\ 4 & d & e \\ 9 & d & e \\ \hline \end{array} \end{array} = \begin{array}{c} R *_{B=C} S \\ \begin{array}{|c|c|c|c|} \hline A & B & D & E \\ \hline a & 2 & d & e \\ a & 4 & d & e \\ \hline \end{array} \end{array}$$

# Composition of operations

- Expressions can be built by composing multiple operations
- Example:  $\sigma_{A=C} (R \times S)$

$$R \times S = \begin{array}{|c|c|} \hline A & B \\ \hline a & 1 \\ b & 2 \\ \hline \end{array} \times \begin{array}{|c|c|} \hline C & D \\ \hline a & 5 \\ b & 5 \\ b & 6 \\ c & 5 \\ \hline \end{array} = \begin{array}{|c|c|c|c|} \hline A & B & C & D \\ \hline a & 1 & a & 5 \\ a & 1 & b & 5 \\ a & 1 & b & 6 \\ a & 1 & c & 5 \\ b & 2 & a & 5 \\ b & 2 & b & 5 \\ b & 2 & b & 6 \\ b & 2 & c & 5 \\ \hline \end{array}$$
  

$$\sigma_{A=C} (R \times S) = \begin{array}{|c|c|c|c|} \hline A & B & C & D \\ \hline a & 1 & a & 5 \\ b & 2 & b & 5 \\ b & 2 & b & 6 \\ \hline \end{array}$$



## Assignment operation

- The assignment operation ( $\leftarrow$ ) makes it possible to assign the result of an expression to a temporary relation variable.

- Example:

$temp \leftarrow \sigma_{dno = 5}(EMPLOYEE)$

$result \leftarrow \Pi_{fname, lname, salary}(temp)$

- The result to the right of the  $\leftarrow$  is assigned to the relation variable on the left of the  $\leftarrow$ .
- The variable may use variable in subsequent expressions.



## Renaming relations and attribute

- The assignment operation can also be used to rename relations and attributes.

- Example:

$\text{NEWEMP} \leftarrow \sigma_{\text{dno} = 5}(\text{EMPLOYEE})$

$\text{R}(\text{FIRSTNAME}, \text{LASTNAME}, \text{SALARY}) \leftarrow$

$\Pi_{\text{fname}, \text{lname}, \text{salary}}(\text{NEWEMP})$

## Division operation

- Suited to queries that include the phrase “for all”.
- Let  $R$  and  $S$  be relations on schemas  $R$  and  $S$  respectively, where
$$R = (A_1, \dots, A_m, B_1, \dots, B_n)$$
$$S = (B_1, \dots, B_n)$$
- The result of  $R \div S$  is a relation on schema
$$R - S = (A_1, \dots, A_m)$$
$$R \div S = \{t \mid t \in \Pi_{R-S}(R) \ \forall u \in S \wedge tu \in R\}$$



# Example Division operation

 $R$  $S$  $R \div S$ 

$A$	$B$
a	1
a	2
a	3
b	1
c	1
d	1
d	3
d	4
d	6
e	1
e	2

 $\div$ 

$B$
1
2

 $=$ 

$A$
a
e

## Relation algebra as a query language

- Relational schema: *supplies*(sname, iname, price)
- “What is the names of the suppliers that supply cheese?”  
 $\pi_{sname}(\sigma_{iname='CHEESE'}(SUPPLIES))$
- “What is the name and price of the items that cost less than 5 \$ and that are supplied by WALMART”

$$\pi_{iname,price}(\sigma_{sname='WALMART' \wedge price < 5}(SUPPLIES))$$

# Additional relational operations

- Outer join and outer union (presented together with SQL)
- Aggregate functions (presented together with SQL)
- Update operations (presented together with SQL)
  - (not part of pure query language)

