

# Pumping Lemma for CFLs

In any sufficiently long string in a CFL, it is possible to find at most two short, nearby substrings that we can “pump”  $i$  times in tandem, for any integer  $i$ , and the resulting string will still be in that language.

**Pumping lemma for CFLs:** Let  $L$  be a CFL. Then there exists a constant  $n$  such that if  $z \in L$  with  $|z| \geq n$ , then we can write  $z = uvwxy$ , subject to the following conditions:

1.  $|vwx| \leq n$ .
2.  $vx \neq \epsilon$ .
3. For all  $i \geq 0$ , we have  $uv^iwx^iy \in L$ .

## Informal Proof

If the string  $z$  is sufficiently long, then the parse tree produced by  $z$  has a variable symbol that is repeated on a path from the root to a leaf. Suppose  $A_i = A_j$ , such that the overall parse tree has yield  $z = uvwxy$ , the subtree for root  $A_j$  has yield  $w$ , and the subtree for root  $A_i$  has yield  $vw$ .

We can replace the subtree for root  $A_i$  with the subtree for root  $A_j$ , giving a tree with yield  $uwv$  (corresponding to the case  $i = 0$ ), which also belongs to  $L$ .

We can replace the subtree for root  $A_j$  with the subtree for root  $A_i$ , giving a tree with yield  $uv^2wx^2y$  (corresponding to the case  $i = 2$ ), which also belongs to  $L$ .

Etc.

## Examples

While CFLs can match two sub-strings for (in) equality of length, they cannot match three such sub-strings.

**Example 1:** Consider  $L = \{0^m 1^m 2^m \mid m \geq 1\}$ .

Pick  $n$  of the pumping lemma. Pick  $z = 0^n 1^n 2^n$ . Break  $z$  into  $uvwxy$ , with  $|vwx| \leq n$  and  $vx \neq \epsilon$ . Hence  $vwx$  cannot involve both 0s and 2s, since the last 0 and the first 2 are at least  $n + 1$  positions apart. There are two cases:

- $vwx$  has no 2s. Then  $vx$  has only 0s and 1s. Then  $uwy$ , which would have to be in  $L$ , has  $n$  2s, but fewer than  $n$  0s or 1s.
- $vwx$  has no 0s. Analogous.

Hence  $L$  is *not* a CFL.

## Examples (continued)

CFLs cannot match two pairs of sub-strings of equal lengths if the pairs interleave.

**Example 2:** Consider  $L = \{0^i 1^j 2^i 3^j \mid i, j \geq 1\}$ .

Pick  $n$  of the pumping lemma. Pick  $z = 0^n 1^n 2^n 3^n$ . Break  $z$  into  $uvwxy$ , with  $|vwx| \leq n$  and  $vx \neq \epsilon$ . Then  $vwx$  contains one or two different symbols. In both cases, the string  $uwy$  cannot be in  $L$ .

CFLs cannot match two sub-strings of arbitrary length over an alphabet of at least two symbols.

**Example 3:** Consider  $L = \{ww \mid w \in \{0, 1\}^*\}$ .

Pick  $n$  of the pumping lemma. Pick  $z = 0^n 1^n 0^n 1^n$ . In all cases, the string  $uwy$  cannot be in  $L$ .